

**ALABAMA WORKFORCE INVESTMENT SYSTEM**

**Department of Commerce  
Workforce Development Division  
401 Adams Avenue, Suite 380  
Post Office 304103  
Montgomery, Alabama 36130-4103**

February 25, 2019

**GOVERNOR'S WORKFORCE INNOVATION DIRECTIVE NO. PY2016-13, Change 06**

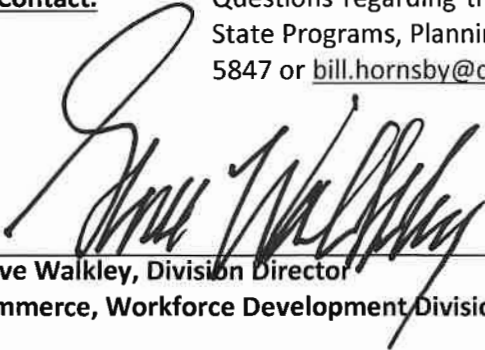
**SUBJECT: P17/FY 18 WIOA Allocations – Financial Assistance for Adult Programs (West Alabama Works! Local Workforce Development Area (LWDA))**

**1. Purpose.** This Directive transfers \$1,287,674 from the Program Year 2017 and Fiscal Year 2018 Governor's 5 and 10 Percent Set Aside funds to the West Alabama Works! Local Workforce Development Area to alleviate extreme over obligations of Adult funds and continued expected needs that exceed the LWDA's allocation of funds through June 30, 2019.

**2. Discussion.** On February 7, 2019 the Governor's Local Workforce Area Section, the administrative entity for the West Alabama Works! LWDA requested financial assistance from the State as it was determined that financial obligations for Adult Programs ending June 30, 2019 exceed the local area's availability of funds. Pursuant to the fact that available funds exist within the Governor's 5 and 10 Percent Set Aside funds, the State WIOA Office is making available \$1,287,674 of Program Year 2017 and Fiscal Year 2018 Governor's 5 Percent and 10 Percent Youth and Dislocated Worker funds for the West Alabama Works! LWDA to use in the liquidation of Adult Program obligations and expenditures incurred in excess of the local area's availability of Adult funds through June 30, 2019. These funds should be separately tracked and expenditures reported as Governor's Set Aside Five (5) Percent and/or Ten (10) Percent funds (as applicable) expended for Program Costs.

**3. Action.** Please prepare the required workforce plan budget revisions and submit them to the State WIOA Office no later than March 15, 2019.

**4. Contact.** Questions regarding this Directive should be referred to Bill Hornsby, Supervisor, State Programs, Planning, and Divisional Budget Management Section at (334) 242-5847 or [bill.hornsby@commerce.alabama.gov](mailto:bill.hornsby@commerce.alabama.gov).

  
Steve Walkley, Division Director  
Commerce, Workforce Development Division

  
Date

**Attachments**

- 1. Local Area Planning Allocation**
- 2. Memorandum/Approval-Lesser Amount**

**LOCAL AREA PLANNING ALLOCATION  
 FOR PROGRAM YEAR 2017 W / Transfer  
 (July 1, 2017 - June 30, 2018)**

		PY 2017 Allotment	FY 2018 Allotment	Full PY Allotment
<b>SEC. 133(b)(2)(A) ADULT PROGRAMS</b>		<b>\$1,970,815</b>	<b>\$13,506,144</b>	<b>\$15,476,959</b>
<b>a. GOVERNOR's SET ASIDES:</b>	<b>12%</b>	<b>\$236,498</b>	<b>\$1,620,737</b>	<b>\$1,857,235</b>
Administration (5%)		\$98,540	\$675,307	\$773,847
Other WIOA Activities (7%)		\$137,958	\$945,430	\$1,083,388
Incent/Cap. Bldng. (0%)		\$0	\$0	\$0
Local Area Grants (0%)		\$0	\$0	\$0
State-level (0%)		\$0	\$0	\$0
<b>b. LOCAL AREA ADULT PROGRAMS</b>	<b>88%</b>	<b>1,734,317</b>	<b>11,885,407</b>	<b>13,619,724</b>
REGION 1		\$466,012	\$3,193,620	\$3,659,632
REGION 2		\$156,861	\$1,074,978	\$1,231,839
REGION 3		\$136,652	\$936,484	\$1,073,136
REGION 4		\$219,279	\$1,502,738	\$1,722,017
REGION 5		\$228,707	\$1,567,346	\$1,796,053
REGION 6		\$129,194	\$885,376	\$1,014,570
REGION 7		\$397,612	\$2,724,865	\$3,122,477
<b>SEC. 128(b)(2) YOUTH ALLOTMENT</b>		<b>\$16,017,359</b>	<b>\$0</b>	<b>\$16,017,359</b>
<b>a. GOVERNOR's SET ASIDES:</b>	<b>12%</b>	<b>\$1,922,083</b>	<b>\$0</b>	<b>\$1,922,083</b>
Administration (5%)		\$800,867	\$0	\$800,867
Other WIOA Activities (7%)		\$1,121,216	\$0	\$1,121,216
Transfer to West Alabama LWDA		-\$120,570 *	\$0	-\$120,570
Incent/Cap. Bldng. (0%)		\$0	\$0	\$0
Local Area Grants (0%)		\$0	\$0	\$0
State-level (0%)		\$0	\$0	\$0
Total Other WIOA Activities (7%)		\$1,000,646	\$0	\$1,000,646
<b>b. LOCAL AREA YOUTH PROGRAMS</b>	<b>88%</b>	<b>\$14,095,276</b>	<b>\$0</b>	<b>\$14,095,276</b>
REGION 1		\$3,679,732	\$0	\$3,679,732
REGION 2		\$1,201,765	\$0	\$1,201,765
REGION 3		\$1,366,507	\$0	\$1,366,507
REGION 4		\$1,718,378	\$0	\$1,718,378
REGION 5		\$1,967,041	\$0	\$1,967,041
REGION 6		\$1,036,135	\$0	\$1,036,135
REGION 7		\$3,125,718	\$0	\$3,125,718

**LOCAL AREA PLANNING ALLOCATION  
 FOR PROGRAM YEAR 2017 W / Transfer  
 (July 1, 2017 - June 30, 2018)**

		PY 2017 Allotment	FY 2018 Allotment	Full PY Allotment
<b>SEC. 133(b)(2)(B) DISLOCATED WORKERS</b>		<b>\$3,331,907</b>	<b>\$17,753,018</b>	<b>\$21,084,925</b>
		\$0		\$0
		<b>\$3,331,907</b>	<b>\$17,753,018</b>	<b>\$21,084,925</b>
<b>a. RAPID RESPONSE PROGRAMS</b>	<b>0%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>b. GOVERNOR's SET ASIDES:</b>	<b>12%</b>	<b>\$399,829</b>	<b>\$2,130,362</b>	<b>\$2,530,191</b>
<b>Administration (5%)</b>		<b>\$166,595</b>	<b>\$887,650</b>	<b>\$1,054,245</b>
Transfer to West Alabama LWDA		\$0	-\$691,158 *	-\$691,158
<b>Total Administration (5%)</b>		<b>\$166,595</b>	<b>\$196,492</b>	<b>\$363,087</b>
<b>Other WIOA Activities (7%)</b>		<b>\$233,234</b>	<b>\$1,242,712</b>	<b>\$1,475,946</b>
Transfer to West Alabama LWDA		-\$233,234 *	-\$242,712 *	-\$475,946
<b>Incent/Cap. Bldng. (0%)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Local Area Grants (0%)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>State-level (0%)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Total Other WIOA Activities (7%)</b>		<b>\$0</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>
<b>c. LOCAL AREA D.W. PROGRAMS</b>	<b>88%</b>	<b>\$2,932,078</b>	<b>\$15,622,656</b>	<b>\$18,554,734</b>
REGION 1		\$639,518	\$3,407,470	\$4,046,988
REGION 2		\$465,213	\$2,478,741	\$2,943,954
REGION 3		\$149,036	\$794,086	\$943,122
REGION 4		\$393,427	\$2,096,254	\$2,489,681
REGION 5		\$442,228	\$2,356,274	\$2,798,502
REGION 6		\$366,134	\$1,950,832	\$2,316,966
REGION 7		\$476,522	\$2,538,999	\$3,015,521
<b>TOTAL PROGRAM YEAR ALLOTMENT</b>		<b>\$21,320,081</b>	<b>\$31,259,162</b>	<b>\$52,579,243</b>

LOCAL AREA PLANNING ALLOCATION  
 FOR PROGRAM YEAR 2017 W / Transfer  
 (July 1, 2017 - June 30, 2018)

		PY 2017 Allotment	FY 2018 Allotment	Full PY Allotment
<b>POOLED SET ASIDES</b>				
<b>RAPID RESPONSE PROGRAMS</b>	0%	\$0	\$0	\$0
Administration (5%)		\$1,066,002	\$1,562,957	\$2,628,959
Transfer to West Alabama LWDA		\$0	-\$691,158 *	-\$691,158
<b>Total Administration (5%)</b>		<u>\$1,066,002</u>	<u>\$871,799</u>	<u>\$1,937,801</u>
Other WIOA Activities (7%)		\$1,492,408	\$2,188,142	\$3,680,550
Transfer to West Alabama LWDA		-\$353,804 *	-\$242,712 *	-\$596,516
Incentive Awards/Capacity Building (0%)		\$0	\$0	\$0
Local Area grants (0%)		\$0	\$0	\$0
State-level (0%)		\$0	\$0	\$0
<b>Total Other WIOA Activities (7%)</b>		<u>\$1,138,603</u>	<u>\$1,945,430</u>	<u>\$3,084,033</u>
REGION 1		N/A	N/A	N/A
REGION 2		N/A	N/A	N/A
REGION 3		N/A	N/A	N/A
REGION 4		N/A	N/A	N/A
REGION 5		N/A	N/A	N/A
REGION 6		N/A	N/A	N/A
REGION 7		N/A	N/A	N/A
State-level activities (0%)		\$0	\$0	\$0
<b>REGION 1</b>				
ADULT		\$466,012	\$3,193,620	\$3,659,632
YOUTH		\$3,679,732	\$0	\$3,679,732
DISLOCATED WORKER		\$639,518	\$3,407,470	\$4,046,988
<b>TOTAL LOCAL AREA FUNDS</b>		<u>\$4,785,262</u>	<u>\$6,601,090</u>	<u>\$11,386,352</u>
<b>REGION 2</b>				
ADULT		\$156,861	\$1,074,978	\$1,231,839
YOUTH		\$1,201,765	\$0	\$1,201,765
DISLOCATED WORKER		\$465,213	\$2,478,741	\$2,943,954
<b>TOTAL LOCAL AREA FUNDS</b>		<u>\$1,823,839</u>	<u>\$3,553,719</u>	<u>\$5,377,558</u>
<b>REGION 3</b>				
ADULT		\$136,652	\$936,484	\$1,073,136
YOUTH		\$1,366,507	\$0	\$1,366,507
DISLOCATED WORKER		\$149,036	\$794,086	\$943,122
Transfer of 5% & 10%		\$353,804 *	\$933,870 *	\$1,287,674
<b>TOTAL LOCAL AREA FUNDS</b>		<u>\$2,005,999</u>	<u>\$2,664,440</u>	<u>\$4,670,439</u>

**LOCAL AREA PLANNING ALLOCATION  
 FOR PROGRAM YEAR 2017 W / Transfer  
 (July 1, 2017 - June 30, 2018)**

	PY 2017 Allotment	FY 2018 Allotment	Full PY Allotment
<b>REGION 4</b>			
ADULT	\$219,279	\$1,502,738	\$1,722,017
YOUTH	\$1,718,378	\$0	\$1,718,378
DISLOCATED WORKER	\$393,427	\$2,096,254	\$2,489,681
<b>TOTAL STATE-LEVEL FUNDS</b>	<b>\$2,331,084</b>	<b>\$3,598,992</b>	<b>\$5,930,076</b>
<b>REGION 5</b>			
ADULT	\$228,707	\$1,567,346	\$1,796,053
YOUTH	\$1,967,041	\$0	\$1,967,041
DISLOCATED WORKER	\$442,228	\$2,356,274	\$2,798,502
<b>TOTAL LOCAL AREA FUNDS</b>	<b>\$2,637,976</b>	<b>\$3,923,620</b>	<b>\$6,561,596</b>
<b>REGION 6</b>			
ADULT	\$129,194	\$885,376	\$1,014,570
YOUTH	\$1,036,135	\$0	\$1,036,135
DISLOCATED WORKER	\$366,134	\$1,950,832	\$2,316,966
<b>TOTAL LOCAL AREA FUNDS</b>	<b>\$1,531,463</b>	<b>\$2,836,208</b>	<b>\$4,367,671</b>
<b>REGION 7</b>			
ADULT	\$397,612	\$2,724,865	\$3,122,477
YOUTH	\$3,125,718	\$0	\$3,125,718
DISLOCATED WORKER	\$476,522	\$2,538,999	\$3,015,521
<b>TOTAL LOCAL AREA FUNDS</b>	<b>\$3,999,852</b>	<b>\$5,263,864</b>	<b>\$9,263,716</b>
<b>STATEWIDE</b>			
ADULT	\$236,498	\$1,620,737	\$1,857,235
YOUTH	\$1,922,083	\$0	\$1,922,083
DISLOCATED WORKER	\$399,829	\$2,130,362	\$2,530,191
RAPID RESPONSE	\$0	\$0	\$0
Youth Transfer to West Alabama	-\$353,804 *	\$0	-\$353,804
Dislocated Worker Transfer to West Alabama	\$0	-\$933,870 *	-\$933,870
<b>TOTAL STATE-LEVEL FUNDS</b>	<b>\$2,204,606</b>	<b>\$2,817,229</b>	<b>\$5,021,835</b>
<b>TOTAL ALL FUNDS</b>	<b>\$21,320,081</b>	<b>\$31,259,162</b>	<b>\$52,579,243</b>

KAY IVEY  
GOVERNOR



GREG CANFIELD  
SECRETARY OF COMMERCE

STATE OF ALABAMA  
February 7, 2019

Mr. Steve Walkley, Division Director  
Workforce Development Division  
Alabama Department of Commerce  
401 Adams Avenue  
Montgomery, Alabama 36130

Dear Mr. Walkley:

West Alabama Works Local Workforce Development Area 3 has fully obligated their PY'18 Adult funding, including the 75% transfer of funds from Dislocated Worker to Adult. The total allocation including the 75% transfer, is \$3,088,225.99. Based on our latest obligation report, the current Adult obligation is \$3,362,125.00, which is already \$273,899.00 over obligated. This does not represent additional obligations for short term training such as truck driving and CNA or for Individual Training Accounts (ITAs) for the summer term in May and June. In addition, our On-the-Job Training (OJT) in Area 3 has increased significantly with excess of \$500,000.00 of new OJT obligations since October 1, 2018. We anticipate a minimum of \$300,000.00 of additional OJT funds needed for the remainder of this program year. We have a minimal amount of additional funds for OJT and ITAs with limited approvals for ITAs. We are also working with the Local Board and schools in this area for additional Stand-Alone Short-Term Occupational Skills Training Programs which will be in excess of \$100,000.00.

Based on the above obligation information and projected need for additional funds for ITA and OJT, we are requesting \$2,000,000.00 in additional funds for Local Area 3. Your consideration of this funding request is appreciated. If you have any questions, please contact me.



Sincerely,

Mickey A. Hutto, Operations Manager  
Governor's Local Workforce Areas

Approved for \$1.5 million:

Steve Walkley,  
Division Director

Date

Cc: Ed Castile  
Bill Hornsby