

ALABAMA WORKFORCE INVESTMENT SYSTEM

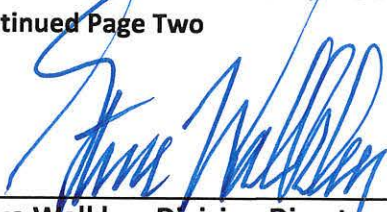
Department of Commerce
Workforce Development Division
401 Adams Avenue
Post Office 304103
Montgomery, Alabama 36130-4103

November 28, 2017

GOVERNOR'S WORKFORCE INOVATION DIRECTIVE NO. PY 2016-13, Change 03

- SUBJECT:** Program Year 2016-Fiscal Year 2017 Workforce Innovation and Opportunity Act (WIOA) Reallocation of Recaptured Program Year 2016/Fiscal Year 2017 unspent carryover funds.
- 1. Purpose.** This transmits the amended PY16/FY17 Local Area Planning Allocations resulting from the recapture of unexpended PY16/FY17 Administration and Program carryover funds.
 - 2. Discussion.** Due to the fact that the State went from three local workforce development areas to seven, effective on July 1, 2017, all local area PY16/FY17 WIOA Formula Funds (not expended as of July 30, 2017) have been recaptured and reallocated to the new seven local workforce development areas. These unspent funds are reallocated as "Administrative Funds" and as "Program Funds" to avoid a possible overexpenditure of the maximum ten (10) percent administrative limitation on each funding stream. The total amount of PY16/FY17 funds recaptured and reallocated is \$15,389,562.35, which includes the earlier reallocation of \$3,300,000 of FY17 Adult and Dislocated Worker Funds initially reallocated per GWID No. PY2015-19, Change 9. These funds are being reallocated among the seven new Workforce Development Areas. **All Local Workforce Development Areas (LWDAs) should note that the reallocated funds are available for a period of one year (July 2017 – June 2018).**
 - 3. Action.** The LWDAs should submit a revised plan for PY17/FY18 updating the previous FY17 carry-forward budget page (WIOA-60) and adding a carry-forward PY16 budget page using the attached documents. The full amount of each LWDA's carry-forward allocations should be budgeted for PY 2017 (July 1, 2017 – June 30, 2018). The revised plan is due to the Workforce Development Division by close of business **December 15, 2017.**
 - 4. Contact.** Any questions may be referred to Bill Hornsby, State Programs, Planning, & Divisional Budget Management Section Supervisor, at (334) 242-5847 or bill.hornsby@commerce.alabama.gov.

GOVERNOR'S WORKFORCE INOVATION DIRECTIVE NO. PY 2016-13, Change 03
Continued Page Two



**Steve Walkley, Division Director
Workforce Development Division
Alabama Department of Commerce**

11/28/2017

Date

Attachments

1. Local Area Planning Allocation for PY16/FY17 Carry-In Administrative Funds
2. Local Area Planning Allocation for PY16/FY17 Carry-In Program Funds

**LOCAL AREA PLANNING ALLOCATION
PROGRAM YEAR 2016 of CARRY-IN ADMINISTRATIVE FUNDS
(July 1, 2017 - June 30, 2018)**

This is recapture of PY2016/FY2017 Funds - must be spent by June 30, 2019

		PY 2016 Carry-in to PY 17	FY 2017	Full PY Allotment
SEC. 133(b)(2)(A) ADULT PROGRAMS		\$0.00	\$725,513.37	\$725,513.37
a. GOVERNOR's SET ASIDES:	12%	\$0.00	\$0.00	\$0.00
Administration (5%)		\$0.00	\$0.00	\$0.00
Other WIOA Activities (7%)		\$0.00	\$0.00	\$0.00
Incent/Cap. Bldng. (3%)		\$0.00	\$0.00	\$0.00
Local Area Grants (100%)		\$0.00	\$0.00	\$0.00
State-level (0%)		\$0.00	\$0.00	\$0.00
b. LOCAL AREA ADULT PROGRAMS	0%	\$0.00	\$725,513.37	\$725,513.37
REGION 1		\$0.00	\$194,946.12	\$194,946.12
REGION 2		\$0.00	\$65,619.22	\$65,619.22
REGION 3		\$0.00	\$57,165.20	\$57,165.20
REGION 4		\$0.00	\$91,730.71	\$91,730.71
REGION 5		\$0.00	\$95,674.50	\$95,674.50
REGION 6		\$0.00	\$54,045.42	\$54,045.42
REGION 7		\$0.00	\$166,332.20	\$166,332.20
SEC. 128(b)(2) YOUTH ALLOTMENT		\$847,198.01	\$0.00	\$847,198.01
a. GOVERNOR's SET ASIDES:	0%	\$0.00	\$0.00	\$0.00
Administration (5%)		\$0.00	\$0.00	\$0.00
Other WIOA Activities (7%)		\$0.00	\$0.00	\$0.00
Incent/Cap. Bldng. (3%)		\$0.00	\$0.00	\$0.00
Local Area Grants (100%)		\$0.00	\$0.00	\$0.00
State-level (0%)		\$0.00	\$0.00	\$0.00
b. LOCAL AREA YOUTH PROGRAMS	0%	\$847,198.01	\$0.00	\$847,198.01
REGION 1		\$221,170.70	\$0.00	\$221,170.70
REGION 2		\$72,232.18	\$0.00	\$72,232.18
REGION 3		\$82,134.06	\$0.00	\$82,134.06
REGION 4		\$103,283.29	\$0.00	\$103,283.29
REGION 5		\$118,229.19	\$0.00	\$118,229.19
REGION 6		\$62,277.01	\$0.00	\$62,277.01
REGION 7		\$187,871.58	\$0.00	\$187,871.58

*These figures are estimates based upon worksheets provided by Philip Fetzer 10/25/2017 and 11/16/17.

**LOCAL AREA PLANNING ALLOCATION
PROGRAM YEAR 2016 of CARRY-IN ADMINISTRATIVE FUNDS
(July 1, 2017 - June 30, 2018)**

This is recapture of PY2016/FY2017 Funds - must be spent by June 30, 2019

		PY 2016 Carry-in to PY 17	FY 2017	Full PY Allotment
SEC. 133(b)(2)(B) DISLOCATED WORKERS		\$7,135.30	\$788,187.82	\$795,323.12
a. RAPID RESPONSE PROGRAMS	0%	\$0.00	\$0.00	\$0.00
b. GOVERNOR's SET ASIDES:	0%	\$0.00	\$0.00	\$0.00
Administration (5%)		\$0.00	\$0.00	\$0.00
Other WIOA Activities (7%)		\$0.00	\$0.00	\$0.00
Incent/Cap. Bldng. (3%)		\$0.00	\$0.00	\$0.00
Local Area Grants (100%)		\$0.00	\$0.00	\$0.00
State-level (0%)		\$0.00	\$0.00	\$0.00
c. LOCAL AREA D.W. PROGRAMS	100%	\$7,135.30	\$788,187.82	\$795,323.12
REGION 1		\$1,556.28	\$171,912.29	\$173,468.57
REGION 2		\$1,132.11	\$125,056.41	\$126,188.52
REGION 3		\$362.68	\$40,062.84	\$40,425.52
REGION 4		\$957.42	\$105,759.36	\$106,716.78
REGION 5		\$1,076.18	\$118,877.78	\$119,953.96
REGION 6		\$891.00	\$98,422.59	\$99,313.59
REGION 7		\$1,159.63	\$128,096.55	\$129,256.18
TOTAL PROGRAM YEAR ALLOTMENT		\$854,333.31	\$1,513,701.19	\$2,368,034.50

*These figures are estimates based upon worksheets provided by Philip Fetzer 10/25/2017 and 11/16/17.

**LOCAL AREA PLANNING ALLOCATION
PROGRAM YEAR 2016 of CARRY-IN ADMINISTRATIVE FUNDS
(July 1, 2017 - June 30, 2018)**

This is recapture of PY2016/FY2017 Funds - must be spent by June 30, 2019

	PY 2016 Carry-in to PY 17	FY 2017	Full PY Allotment
POOLED SET ASIDES			
RAPID RESPONSE PROGRAMS	0%	\$0.00	\$0.00
Administration (5%)		\$0.00	\$0.00
Other WIOA Activities (7%)		\$0.00	\$0.00
Incentive Awards/Capacity Building (3%)		\$0.00	\$0.00
Local Area grants (100%)		\$0.00	\$0.00
REGION 1	N/A	N/A	N/A
REGION 2	N/A	N/A	N/A
REGION 3	N/A	N/A	N/A
REGION 4	N/A	N/A	N/A
REGION 5	N/A	N/A	N/A
REGION 6	N/A	N/A	N/A
REGION 7	N/A	N/A	N/A
State-level activities (0%)		\$0.00	\$0.00
REGION 1			
ADULT	\$0.00	\$194,946.12	\$194,946.12
YOUTH	\$221,170.70	\$0.00	\$221,170.70
DISLOCATED WORKER	\$1,556.28	\$171,912.29	\$173,468.57
TOTAL LOCAL AREA FUNDS	<u>\$222,726.98</u>	<u>\$366,858.41</u>	<u>\$589,585.39</u>
REGION 2			
ADULT	\$0.00	\$65,619.22	\$65,619.22
YOUTH	\$72,232.18	\$0.00	\$72,232.18
DISLOCATED WORKER	\$1,132.11	\$125,056.41	\$126,188.52
TOTAL LOCAL AREA FUNDS	<u>\$73,364.29</u>	<u>\$190,675.63</u>	<u>\$264,039.92</u>
REGION 3			
ADULT	\$0.00	\$57,165.20	\$57,165.20
YOUTH	\$82,134.06	\$0.00	\$82,134.06
DISLOCATED WORKER	\$362.68	\$40,062.84	\$40,425.52
TOTAL LOCAL AREA FUNDS	<u>\$82,496.74</u>	<u>\$97,228.04</u>	<u>\$179,724.78</u>

*These figures are estimates based upon worksheets provided by Philip Fetzer 10/25/2017 and 11/16/17.

**LOCAL AREA PLANNING ALLOCATION
PROGRAM YEAR 2016 of CARRY-IN ADMINISTRATIVE FUNDS
(July 1, 2017 - June 30, 2018)**

This is recapture of PY2016/FY2017 Funds - must be spent by June 30, 2019

	PY 2016 Carry-in to PY 17	FY 2017	Full PY Allotment
REGION 4			
ADULT	\$0.00	\$91,730.71	\$91,730.71
YOUTH	\$103,283.29	\$0.00	\$103,283.29
DISLOCATED WORKER	\$957.42	\$105,759.36	\$106,716.78
TOTAL STATE-LEVEL FUNDS	<u>\$104,240.71</u>	<u>\$197,490.07</u>	<u>\$301,730.78</u>
REGION 5			
ADULT	\$0.00	\$95,674.50	\$95,674.50
YOUTH	\$118,229.19	\$0.00	\$118,229.19
DISLOCATED WORKER	\$1,076.18	\$118,877.78	\$119,953.96
TOTAL LOCAL AREA FUNDS	<u>\$119,305.37</u>	<u>\$214,552.28</u>	<u>\$333,857.65</u>
REGION 6			
ADULT	\$0.00	\$54,045.42	\$54,045.42
YOUTH	\$62,277.01	\$0.00	\$62,277.01
DISLOCATED WORKER	\$891.00	\$98,422.59	\$99,313.59
TOTAL LOCAL AREA FUNDS	<u>\$63,168.01</u>	<u>\$152,468.01</u>	<u>\$215,636.02</u>
REGION 7			
ADULT	\$0.00	\$166,332.20	\$166,332.20
YOUTH	\$187,871.58	\$0.00	\$187,871.58
DISLOCATED WORKER	\$1,159.63	\$128,096.55	\$129,256.18
TOTAL LOCAL AREA FUNDS	<u>\$189,031.21</u>	<u>\$294,428.75</u>	<u>\$483,459.96</u>
STATEWIDE			
ADULT	\$0.00	\$0.00	\$0.00
YOUTH	\$0.00	\$0.00	\$0.00
DISLOCATED WORKER	\$0.00	\$0.00	\$0.00
RAPID RESPONSE	\$0.00	\$0.00	\$0.00
TOTAL STATE-LEVEL FUNDS	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>
TOTAL ALL FUNDS	<u>\$854,333.31</u>	<u>\$1,513,701.19</u>	<u>\$2,368,034.50</u>

*These figures are estimates based upon worksheets provided by Philip Fetzer 10/25/2017 and 11/16/17.

11/20/2017

**LOCAL AREA PLANNING ALLOCATION
PROGRAM YEAR 2016 of CARRY-IN PROGRAM FUNDS
(July 1, 2017 - June 30, 2018)**

This is recapture of PY2016/FY2017 Funds - must be spent by June 30, 2019

		PY 2016 Carry-in to PY 17	FY 2017	Full PY Allotment
SEC. 133(b)(2)(A) ADULT PROGRAMS		\$0.00	\$4,319,609.25	\$4,319,609.25
a. GOVERNOR's SET ASIDES:	0%	\$0.00	\$0.00	\$0.00
Administration (5%)		\$0.00	\$0.00	\$0.00
Other WIOA Activities (7%)		\$0.00	\$0.00	\$0.00
Incent/Cap. Bldng. (3%)		\$0.00	\$0.00	\$0.00
Local Area Grants (100%)		\$0.00	\$0.00	\$0.00
State-level (0%)		\$0.00	\$0.00	\$0.00
b. LOCAL AREA ADULT PROGRAMS	0%	\$0.00	\$4,319,609.25	\$4,319,609.25
REGION 1		\$0.00	\$1,160,683.05	\$1,160,683.05
REGION 2		\$0.00	\$390,688.02	\$390,688.02
REGION 3		\$0.00	\$340,353.92	\$340,353.92
REGION 4		\$0.00	\$546,152.37	\$546,152.37
REGION 5		\$0.00	\$569,633.11	\$569,633.11
REGION 6		\$0.00	\$321,779.18	\$321,779.18
REGION 7		\$0.00	\$990,319.60	\$990,319.60
SEC. 128(b)(2) YOUTH ALLOTMENT		\$1,529,279.86	\$0.00	\$1,529,279.86
a. GOVERNOR's SET ASIDES:	0%	\$0.00	\$0.00	\$0.00
Administration (5%)		\$0.00	\$0.00	\$0.00
Other WIOA Activities (7%)		\$0.00	\$0.00	\$0.00
Incent/Cap. Bldng. (3%)		\$0.00	\$0.00	\$0.00
Local Area Grants (100%)		\$0.00	\$0.00	\$0.00
State-level (0%)		\$0.00	\$0.00	\$0.00
b. LOCAL AREA YOUTH PROGRAMS	0%	\$1,529,279.86	\$0.00	\$1,529,279.86
REGION 1		\$399,235.94	\$0.00	\$399,235.94
REGION 2		\$130,386.54	\$0.00	\$130,386.54
REGION 3		\$148,260.46	\$0.00	\$148,260.46
REGION 4		\$186,437.00	\$0.00	\$186,437.00
REGION 5		\$213,415.89	\$0.00	\$213,415.89
REGION 6		\$112,416.43	\$0.00	\$112,416.43
REGION 7		\$339,127.60	\$0.00	\$339,127.60

*These figures are estimates based upon worksheets provided by Philip Fetzer 10/25/2017 and 11/16/17.

**LOCAL AREA PLANNING ALLOCATION
PROGRAM YEAR 2016 of CARRY-IN PROGRAM FUNDS
(July 1, 2017 - June 30, 2018)**

This is recapture of PY2016/FY2017 Funds - must be spent by June 30, 2019

		PY 2016 Carry-in to PY 17	FY 2017	Full PY Allotment
SEC. 133(b)(2)(B) DISLOCATED WORKERS		\$786,000.57	\$6,386,638.17	\$7,172,638.74
a. RAPID RESPONSE PROGRAMS	0%	\$0.00	\$0.00	\$0.00
b. GOVERNOR's SET ASIDES:	0%	\$0.00	\$0.00	\$0.00
Administration (5%)		\$0.00	\$0.00	\$0.00
Other WIOA Activities (7%)		\$0.00	\$0.00	\$0.00
Incent/Cap. Bldng. (3%)		\$0.00	\$0.00	\$0.00
Local Area Grants (100%)		\$0.00	\$0.00	\$0.00
State-level (0%)		\$0.00	\$0.00	\$0.00
c. LOCAL AREA D.W. PROGRAMS	100%	\$786,000.57	\$6,386,638.17	\$7,172,638.74
REGION 1		\$171,435.23	\$1,392,994.88	\$1,564,430.11
REGION 2		\$124,709.37	\$1,013,324.52	\$1,138,033.89
REGION 3		\$39,951.66	\$324,626.71	\$364,578.37
REGION 4		\$105,465.88	\$856,961.71	\$962,427.59
REGION 5		\$118,547.89	\$963,259.47	\$1,081,807.36
REGION 6		\$98,149.46	\$797,512.29	\$895,661.75
REGION 7		\$127,741.08	\$1,037,958.59	\$1,165,699.67
TOTAL PROGRAM YEAR ALLOTMENT		\$2,315,280.43	\$10,706,247.42	\$13,021,527.85

*These figures are estimates based upon worksheets provided by Philip Fetzer 10/25/2017 and 11/16/17.

**LOCAL AREA PLANNING ALLOCATION
PROGRAM YEAR 2016 of CARRY-IN PROGRAM FUNDS
(July 1, 2017 - June 30, 2018)**

This is recapture of PY2016/FY2017 Funds - must be spent by June 30, 2019

	PY 2016 Carry-in to PY 17	FY 2017	Full PY Allotment
POOLED SET ASIDES			
RAPID RESPONSE PROGRAMS	0%	\$0.00	\$0.00
Administration (5%)	\$0.00	\$0.00	\$0.00
Other WIOA Activities (7%)	\$0.00	\$0.00	\$0.00
Incentive Awards/Capacity Building (3%)	\$0.00	\$0.00	\$0.00
Local Area grants (100%)	\$0.00	\$0.00	\$0.00
REGION 1	N/A	N/A	N/A
REGION 2	N/A	N/A	N/A
REGION 3	N/A	N/A	N/A
REGION 4	N/A	N/A	N/A
REGION 5	N/A	N/A	N/A
REGION 6	N/A	N/A	N/A
REGION 7	N/A	N/A	N/A
State-level activities (0%)	\$0.00	\$0.00	\$0.00
REGION 1			
ADULT	\$0.00	\$1,160,683.05	\$1,160,683.05
YOUTH	\$399,235.94	\$0.00	\$399,235.94
DISLOCATED WORKER	\$171,435.23	\$1,392,994.88	\$1,564,430.11
TOTAL LOCAL AREA FUNDS	<u>\$570,671.17</u>	<u>\$2,553,677.93</u>	<u>\$3,124,349.10</u>
REGION 2			
ADULT	\$0.00	\$390,688.02	\$390,688.02
YOUTH	\$130,386.54	\$0.00	\$130,386.54
DISLOCATED WORKER	\$124,709.37	\$1,013,324.52	\$1,138,033.89
TOTAL LOCAL AREA FUNDS	<u>\$255,095.91</u>	<u>\$1,404,012.54</u>	<u>\$1,659,108.45</u>
REGION 3			
ADULT	\$0.00	\$340,353.92	\$340,353.92
YOUTH	\$148,260.46	\$0.00	\$148,260.46
DISLOCATED WORKER	\$39,951.66	\$324,626.71	\$364,578.37
TOTAL LOCAL AREA FUNDS	<u>\$188,212.12</u>	<u>\$664,980.63</u>	<u>\$853,192.75</u>

*These figures are estimates based upon worksheets provided by Philip Fetzer 10/25/2017 and 11/16/17.

**LOCAL AREA PLANNING ALLOCATION
PROGRAM YEAR 2016 of CARRY-IN PROGRAM FUNDS
(July 1, 2017 - June 30, 2018)**

This is recapture of PY2016/FY2017 Funds - must be spent by June 30, 2019

	PY 2016 Carry-in to PY 17	FY 2017	Full PY Allotment
REGION 4			
ADULT	\$0.00	\$546,152.37	\$546,152.37
YOUTH	\$186,437.00	\$0.00	\$186,437.00
DISLOCATED WORKER	\$105,465.88	\$856,961.71	\$962,427.59
TOTAL STATE-LEVEL FUNDS	<u>\$291,902.88</u>	<u>\$1,403,114.08</u>	<u>\$1,695,016.96</u>
REGION 5			
ADULT	\$0.00	\$569,633.11	\$569,633.11
YOUTH	\$213,415.89	\$0.00	\$213,415.89
DISLOCATED WORKER	\$118,547.89	\$963,259.47	\$1,081,807.36
TOTAL LOCAL AREA FUNDS	<u>\$331,963.78</u>	<u>\$1,532,892.58</u>	<u>\$1,864,856.36</u>
REGION 6			
ADULT	\$0.00	\$321,779.18	\$321,779.18
YOUTH	\$112,416.43	\$0.00	\$112,416.43
DISLOCATED WORKER	\$98,149.46	\$797,512.29	\$895,661.75
TOTAL LOCAL AREA FUNDS	<u>\$210,565.89</u>	<u>\$1,119,291.47</u>	<u>\$1,329,857.36</u>
REGION 7			
ADULT	\$0.00	\$990,319.60	\$990,319.60
YOUTH	\$339,127.60	\$0.00	\$339,127.60
DISLOCATED WORKER	\$127,741.08	\$1,037,958.59	\$1,165,699.67
TOTAL LOCAL AREA FUNDS	<u>\$466,868.68</u>	<u>\$2,028,278.19</u>	<u>\$2,495,146.87</u>
STATEWIDE			
ADULT	\$0.00	\$0.00	\$0.00
YOUTH	\$0.00	\$0.00	\$0.00
DISLOCATED WORKER	\$0.00	\$0.00	\$0.00
RAPID RESPONSE	\$0.00	\$0.00	\$0.00
TOTAL STATE-LEVEL FUNDS	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>
TOTAL ALL FUNDS	<u>\$2,315,280.42</u>	<u>\$10,706,247.42</u>	<u>\$13,021,527.84</u>

*These figures are estimates based upon worksheets provided by Philip Fetzer 10/25/2017 and 11/16/17.