

Alabama Workforce Investment System

Department of Commerce
Workforce Development Division
401 Adams Avenue
Post Office Box 304103
Montgomery, Alabama 36130-4103

August 31, 2021

GOVERNOR'S WORKFORCE INNOVATION DIRECTIVE NO. PY 2018-05, Change 6

SUBJECT: Program Year 2019/Fiscal Year 2020 Workforce Innovation and Opportunity Act (WIOA) Adult, Youth, and Dislocated Worker Allocations

1. **Purpose.** This transmits the State of Alabama's revised allocations for the Program Year (PY) 2019/Fiscal Year (FY) 2020 allotment. This Directive also transfers \$616,000 from the Fiscal Year 2020 Governor's Ten (10) Percent Set Aside funds to the North Alabama Works! Local Workforce Development Area to alleviate extreme over obligations of Adult funds and continued expected needs that exceed the LWDA's allocation of funds through June 30, 2021.

2. **Discussion.** This Directive adjusts the funding levels for PY 2019/FY 2020 allotments for Adult, Youth, and Dislocated Worker programs under the WIOA Title I Section on recapture and re-allotment. For Program Year 2019, two (2) Local Areas had unobligated funds as of June 30, 2021, the end of the allocation period. Therefore, the State has recaptured a total of \$2,424,972.02 from PY 2019 / FY 2020 funding from these Local Areas and reallocated those funds to the remaining five (5) eligible Local Areas, as required by 20 CFR 683.110 (c)(2)(ii).

Also, the State WIOA Office is making available \$616,000 of Fiscal Year 2020 Governor's Ten (10) Percent Adult funds for the North Alabama Works! LWDA to use in excess of the local area's availability of Adult funds through June 30, 2021. These funds should be separately tracked, and expenditures reported as Governor's Set Aside Ten (10) Percent funds expended for Program Costs.

Please note that the amounts shown in Attachment 1 reflect recaptured funds in addition to regular allocations, incentives, and transfers. Local Areas 1, 3, 4, 6 and 7 should complete a change to their respective PY2019 plans. Recaptured funds should be listed on a separate WIOA-60 form, and the amount of recaptured funds should be added to the amount of previously award funds to show the total amount of funds awarded on the WIOA-59 form. Recaptured funds awarded to the five (5) Local Areas **must be expended no later than June 30, 2022.**

3. **Action.** This directive requires a plan change. Please revise your plan to incorporate the changes to your allocations and submit your revised plans to the Workforce Development Division by **September 30, 2021**. Please retain the Attachments for future reference.

Program Year 2019/Fiscal Year 2020 Workforce Innovation and Opportunity Act (WIOA) Adult, Youth, and Dislocated Worker Allocations
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4. **Contact.** Any questions should be referred to Lisa Trammel, Staff Accountant, Workforce Development Division, at (334) 353-5164 or by email at lisa.trammel@commerce.alabama.gov.

Tammy Wilkinson
Tammy Wilkinson (Aug 31, 2021 10:22 CDT)

08/31/2021

Tammy Wilkinson, Division Director/Date
Workforce Development Division

Attachments
WIOA Allocation

**LOCAL AREA PLANNING ALLOCATION
 FOR PROGRAM YEAR 2019 W / Recapture, Incentives, and Transfer
 (July 1, 2019 - June 30, 2020)**

		PY 2019 Allotment	FY 2020 Allotment	Full PY Allotment
SEC. 133(b)(2)(A) ADULT PROGRAMS		\$2,329,438	\$12,418,458	\$14,747,896
a. GOVERNOR's SET ASIDES:	15%	\$348,415	\$1,857,355	\$2,206,770
Administration (5%)		\$116,471	\$619,118	\$735,589
Other WIOA Activities (7%)		\$163,061	\$866,766	\$1,029,827
Transfer to North Alabama LWDA		\$0	-\$616,000 *	-\$616,000
Incent/Cap. Bldng. (3%)		\$69,883	\$371,471	\$441,354
Local Area Grants (100%)		\$69,883	\$371,471	\$441,354
State-level (0%)		\$0	\$0	\$0
Total Other WIOA Activities (7%)		\$232,944	\$622,237	\$855,181
b. LOCAL AREA ADULT PROGRAMS	85%	\$1,980,023	\$10,561,103	\$12,541,126
Region 1		\$298,705	\$1,582,576	\$1,879,281
Region 2		\$172,982	\$922,658	\$1,095,640
Region 3		\$143,850	\$766,202	\$909,852
Region 4		\$225,742	\$1,204,067	\$1,429,809
Region 5		\$487,950	\$2,602,643	\$3,090,593
Region 6		\$146,483	\$781,313	\$927,796
Region 7		\$506,511	\$2,701,644	\$3,208,155
SEC. 128(b)(2) YOUTH ALLOTMENT		\$15,194,619	\$0	\$15,194,619
a. GOVERNOR's SET ASIDES:	15%	\$2,272,469	\$0	\$2,272,469
Administration (5%)		\$757,489	\$0	\$757,489
Other WIOA Activities (7%)		\$1,060,486	\$0	\$1,060,486
Incent/Cap. Bldng. (3%)		\$454,494	\$0	\$454,494
Local Area Grants (100%)		\$454,494	\$0	\$454,494
State-level (0%)		\$0	\$0	\$0
b. LOCAL AREA YOUTH PROGRAMS	85%	\$12,922,150	\$0	\$12,922,150
Region 1		\$1,851,974	\$0	\$1,851,974
Region 2		\$1,071,640	\$0	\$1,071,640
Region 3		\$1,281,210	\$0	\$1,281,210
Region 4		\$1,463,538	\$0	\$1,463,538
Region 5		\$3,233,690	\$0	\$3,233,690
Region 6		\$937,224	\$0	\$937,224
Region 7		\$3,082,874	\$0	\$3,082,874

**LOCAL AREA PLANNING ALLOCATION
 FOR PROGRAM YEAR 2019 W / Recapture, Incentives, and Transfer
 (July 1, 2019 - June 30, 2020)**

		PY 2019 Allotment	FY 2020 Allotment	Full PY Allotment
SEC. 133(b)(2)(B) DISLOCATED WORKERS		\$3,187,290	\$15,174,591	\$18,361,881
		\$0		\$0
		\$3,187,290	\$15,174,591	\$18,361,881
a. RAPID RESPONSE PROGRAMS	3%	\$95,619	\$453,351	\$548,970
b. GOVERNOR'S SET ASIDES:	15%	\$478,093	\$2,266,758	\$2,744,849
Administration (5%)		\$159,364	\$755,585	\$914,949
Other WIOA Activities (7%)		\$223,110	\$1,057,820	\$1,280,930
Incent/Cap. Bldng. (3%)		\$95,619	\$453,351	\$548,970
Local Area Grants (100%)		\$95,619	\$453,351	\$548,970
State-level (0%)		\$0	\$0	\$0
c. LOCAL AREA D.W. PROGRAMS	82%	\$2,613,578	\$12,454,484	\$15,068,062
Region 1		\$480,186	\$2,192,923	\$2,653,109
Region 2		\$389,880	\$1,857,802	\$2,247,662
Region 3		\$190,589	\$908,212	\$1,098,801
Region 4		\$333,258	\$1,588,076	\$1,921,334
Region 5		\$484,865	\$2,311,004	\$2,795,869
Region 6		\$287,107	\$1,368,152	\$1,655,259
Region 7		\$467,613	\$2,228,315	\$2,695,928
20 CFR 683.110 (c)(2)(ii) Total State Recapture		\$2,212,144.35	\$212,827.67	\$2,424,972.02
a. SEC. 133(b)(2)(A) ADULT PROGRAMS		\$0.00	\$89,512.18	\$89,512.18
Region 1		\$0.00	\$12,558.69	\$12,558.69
Region 2		\$0.00	\$0.00	\$0.00
Region 3		\$0.00	\$12,486.35	\$12,486.35
Region 4		\$0.00	\$11,451.60	\$11,451.60
Region 5		\$0.00	\$0.00	\$0.00
Region 6		\$0.00	\$7,529.02	\$7,529.02
Region 7		\$0.00	\$45,486.52	\$45,486.52

**LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2019 W / Recapture, Incentives, and Transfer
(July 1, 2019 - June 30, 2020)**

Attachment 1
PY19/FY20 Allocations
Recapture, Incentives, and Transfer
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	PY 2019 Allotment	FY 2020 Allotment	Full PY Allotment
b. SEC. 128(b)(2) YOUTH ALLOTMENT	\$2,212,144.35	\$0.00	\$2,212,144.35
Region 1	\$296,374.78	\$0.00	\$296,374.78
Region 2	\$0.00	\$0.00	\$0.00
Region 3	\$340,483.06	\$0.00	\$340,483.06
Region 4	\$264,635.82	\$0.00	\$264,635.82
Region 5	\$0.00	\$0.00	\$0.00
Region 6	\$188,472.87	\$0.00	\$188,472.87
Region 7	\$1,122,177.82	\$0.00	\$1,122,177.82
c. SEC. 133(b)(2)(B) DISLOCATED WORKERS	\$0.00	\$123,315.49	\$123,315.49
Region 1	\$0.00	\$39,184.02	\$39,184.02
Region 2	\$0.00	\$0.00	\$0.00
Region 3	\$0.00	\$15,885.14	\$15,885.14
Region 4	\$0.00	\$19,485.58	\$19,485.58
Region 5	\$0.00	\$0.00	\$0.00
Region 6	\$0.00	\$13,674.77	\$13,674.77
Region 7	\$0.00	\$35,085.98	\$35,085.98
TOTAL PROGRAM YEAR ALLOTMENT	\$20,711,347.00	\$27,593,049.00	\$48,304,396.00
POOLED SET ASIDES			
RAPID RESPONSE PROGRAMS	3%	\$95,619	\$453,351
Administration (5%)		\$1,033,324	\$1,374,703
Other WIOA Activities (7%)		\$1,446,657	\$1,924,586
Transfer to North Alabama LWDA		\$0	-\$616,000 *
Incentive Awards/Capacity Building (3%)		\$619,996	\$824,822
Local Area grants (100%)		\$619,996	\$1,444,818
Total Other WIOA Activities (7%)		<u>\$2,066,653</u>	<u>\$2,133,408</u>
Region 1		\$0	\$0
Region 2		\$0	\$0
Region 3		\$166,743	\$158,082
Region 4		\$49,926	\$252,390
Region 5		\$0	\$0
Region 6		\$222,440	\$166,239
Region 7		\$180,887	\$248,111
State-level activities (0%)		\$0.00	\$0.00

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	PY 2019 Allotment	FY 2020 Allotment	Full PY Allotment
REGION 1			
ADULT	\$296,705	\$1,582,576	\$1,879,281
Incentives	\$0	\$0	\$0
Recapture	\$0	\$12,558.69	\$12,558.69
YOUTH	\$1,851,974	\$0	\$1,851,974
Incentives	\$0	\$0	\$0
Recapture	\$296,374.78	\$0	\$296,374.78
DISLOCATED WORKER	\$460,186	\$2,192,923	\$2,653,109
Incentives	\$0	\$0	\$0
Recapture	\$0	\$39,184.02	\$39,184.02
Transfer to North Alabama LWDA	\$0	\$816,000 *	\$816,000
TOTAL LOCAL AREA FUNDS	<u>\$2,905,239.78</u>	<u>\$4,443,241.71</u>	<u>\$7,348,481.49</u>
REGION 2			
ADULT	\$172,982	\$922,658	\$1,095,640
Incentives	\$0	\$0	\$0
Recapture	\$0	\$0	\$0
YOUTH	\$1,071,640	\$0	\$1,071,640
Incentives	\$0	\$0	\$0
Recapture	\$0	\$0	\$0
DISLOCATED WORKER	\$389,860	\$1,857,802	\$2,247,662
Incentives	\$0	\$0	\$0
Recapture	\$0	\$0	\$0
TOTAL LOCAL AREA FUNDS	<u>\$1,634,482</u>	<u>\$2,780,460</u>	<u>\$4,414,942</u>
REGION 3			
ADULT	\$143,650	\$766,202	\$909,852
Incentives	\$12,463	\$70,755	\$83,218
Recapture	\$0	\$12,486.35	\$12,486.35
YOUTH	\$1,281,210	\$0	\$1,281,210
Incentives	\$135,861	\$0	\$135,861
Recapture	\$340,483.06	\$0	\$340,483.06
DISLOCATED WORKER	\$190,569	\$908,212	\$1,098,801
Incentives	\$18,419	\$87,327	\$105,746
Recapture	\$0	\$15,885.14	\$15,885.14
TOTAL LOCAL AREA FUNDS	<u>\$2,122,675.06</u>	<u>\$1,860,867.49</u>	<u>\$3,983,542.65</u>
REGION 4			
ADULT	\$225,742	\$1,204,067	\$1,429,809
Incentives	\$20,485	\$112,801	\$133,286
Recapture	\$0	\$11,451.60	\$11,451.60
YOUTH	\$1,463,538	\$0	\$1,463,538
Incentives	\$0	\$0	\$0
Recapture	\$264,635.82	\$0	\$264,635.82
DISLOCATED WORKER	\$333,258	\$1,588,076	\$1,921,334
Incentives	\$29,441	\$139,589	\$169,030
Recapture	\$0	\$19,485.58	\$19,485.58
TOTAL STATE-LEVEL FUNDS	<u>\$2,337,099.82</u>	<u>\$3,075,470.18</u>	<u>\$5,412,570.00</u>

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	PY 2019 Allotment	FY 2020 Allotment	Full PY Allotment
REGION 5			
ADULT	\$487,950	\$2,602,643	\$3,090,593
Incentives	\$0	\$0	\$0
Recapture	\$0	\$0	\$0
YOUTH	\$3,233,690		\$3,233,690
Incentives	\$0	\$0	\$0
Recapture	\$0	\$0	\$0
DISLOCATED WORKER	\$484,965	\$2,311,004	\$2,795,969
Incentives	\$0	\$0	\$0
Recapture	\$0	\$0	\$0
TOTAL LOCAL AREA FUNDS	<u>\$4,206,605</u>	<u>\$4,913,647</u>	<u>\$8,120,252</u>
REGION 6			
ADULT	\$146,483	\$781,313	\$927,796
Incentives	\$13,170	\$74,339	\$87,509
Recapture	\$0	\$7,529.02	\$7,529.02
YOUTH	\$937,224	\$0	\$937,224
Incentives	\$189,887		\$189,887
Recapture	\$188,472.87	\$0	\$188,472.87
DISLOCATED WORKER	\$287,107	\$1,368,152	\$1,655,259
Incentives	\$19,383	\$91,900	\$111,283
Recapture	\$0	\$13,674.77	\$13,674.77
TOTAL LOCAL AREA FUNDS	<u>\$1,781,726.87</u>	<u>\$2,336,907.79</u>	<u>\$4,118,634.66</u>
REGION 7			
ADULT	\$506,511	\$2,701,644	\$3,208,155
Incentives	\$23,765	\$113,576	\$137,341
Recapture	\$0	\$45,486.52	\$45,486.52
YOUTH	\$3,082,874	\$0	\$3,082,874
Incentives	\$128,746		\$128,746
Recapture	\$1,122,177.82	\$0	\$1,122,177.82
DISLOCATED WORKER	\$467,613	\$2,228,315	\$2,695,928
Incentives	\$28,376	\$134,535	\$162,911
Recapture	\$0	\$35,085.98	\$35,085.98
TOTAL LOCAL AREA FUNDS	<u>\$5,360,082.82</u>	<u>\$5,258,642.50</u>	<u>\$10,618,705.32</u>
STATEWIDE			
ADULT	\$349,415	\$1,857,355	\$2,206,770
YOUTH	\$2,272,469	\$0	\$2,272,469
DISLOCATED WORKER	\$478,093	\$2,266,756	\$2,744,849
RAPID RESPONSE	\$95,519	\$453,351	\$548,870
Minus Incentives	-\$619,996	-\$824,822	-\$1,444,818
Minus Recapture	-\$2,212,144.35	-\$212,827.67	-\$2,424,972.02
Transfer to North Alabama LWDA	\$0	-\$616,000 *	-\$616,000
TOTAL STATE-LEVEL FUNDS	<u>\$363,465.65</u>	<u>\$2,923,812.33</u>	<u>\$3,287,267.98</u>
TOTAL ALL FUNDS	<u>\$20,711,347</u>	<u>\$27,593,049</u>	<u>\$48,304,396</u>