

ALABAMA WORKFORCE DEVELOPMENT SYSTEM

Alabama Department of Workforce
Workforce Development
401 Adams Avenue
Montgomery, Alabama 36130

ADMINISTRATIVE DIRECTIVE PY2024-04

SUBJECT: Workforce Innovation and Opportunity Act (WIOA) Annual Local Workforce Development Areas Grant Agreements

Purpose: To provide guidance to the Local Workforce Development Areas and Regional Workforce Boards on the submission of annual grant agreements.

Effective Date: May 5, 2025

Discussion: Regional Workforce Boards are required to submit a grant agreement annually in order to receive its WIOA allocation each Program Year. Grant Agreements are incorporated into the Local Workforce Development Area's four-year plan each year. The Grant Agreement depicts a Local Area's planned performance goals, program budgets, and fund transfer activity. The Grant Agreement may be modified as needed throughout the grant period, which is for a period of two years. The Grant Agreement should be prepared each year using the attached Grant Agreement package.

Note that within the Grant Agreement budget, a Regional Workforce Board may transfer up to 100 percent of a program year's allocations for Adult and Dislocated Worker programs between the two programs (20 CFR 683.130(a)).


Pursuant to 20 CFR 683.130(c) the Regional Workforce Boards must provide rational in the grant agreement, modifications or revisions for any fund transfer between the Adult and Dislocated Worker programs. The proposed rational for the transfers and proposed transfer amounts will be reviewed by the State WIOA Office; and if the rationale fully supports the unmet needs of the fund source receiving the funds transfer, the workforce plan, modification, or revision will be sent for the required Governor's approval per 20 CFR 683.130(c). If the rationale does not fully support the funds transfer, it will be returned to the applicable Local Area.

This policy rescinds the Governor's Workforce Innovation Directive No. PY2021-10.

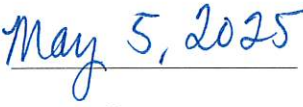
Action: Each Regional Workforce Board shall use the attached forms to submit its grant agreement annually in order to receive its allocation for each Program

Year. Electronic copies of the forms may be requested from Lisa Trammel at lisa.trammel@commerce.alabama.gov.

Contact: Questions regarding this policy should be referred to Lorilei Sanders at Lorilei.Sanders@commerce.alabama.gov or by phone at 334.353.1632.



Tammy Wilkinson, Division Director
Workforce Development Division



Date

Grant Agreement Package

The WIOA Grant Agreement package depicts a local workforce development area's (LWDA) planned proposed performance goals, fund transfer activity, and program budgets. Please provide information for each requested item.

LWDA Adult, Youth, Dislocated Worker Performance Goals:

Please include the LWDA's negotiated goals for the measures below in the grant agreement. **If the LWDA has not negotiated goals with the State prior to the due date for the grant agreement, the LWDA should submit the goals after they are determined.**

Program:	WIOA Performance Goals:
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Adult

Employment Rate 2nd Quarter after Exit
Employment Rate 4th Quarter after Exit
Median Earnings 2nd Quarter after Exit
Credential Attainment within 4 Qtrs. After Exit
Measurable Skills Gains

PYXX

Goal
Goal
Goal
Goal
Goal

Dislocated Worker

Employment Rate 2nd Quarter after Exit
Employment Rate 4th Quarter after Exit
Median Earnings 2nd Quarter after Exit
Credential Attainment within 4 Qtrs. After Exit
Measurable Skills Gains

Goal
Goal
Goal
Goal
Goal

Youth

Employment Rate 2nd Quarter after Exit
Employment Rate 4th Quarter after Exit
Median Earnings 2nd Quarter after Exit
Credential Attainment within 4 Qtrs. After Exit
Measurable Skills Gains

Goal
Goal
Goal
Goal
Goal

Transfer of Funds:

A regional workforce board may transfer, if such a transfer is approved by the Governor, up to and including 100 percent of the funds allocated to the local area for Adult and Dislocated Worker between adult employment and training activities and dislocated worker employment and training activities per WIOA Section 133(b)(4) and 20 CFR 683.130.

Any such LWDA funds transfer request must be submitted in the Grant Agreement document, modification, or revision, and must further be accompanied by appropriate justification, clearly indicating why the request is being made and how granting of the request will benefit local area delivery of workforce development services. At a minimum, the rationale for the transfer should address:

- The situation necessitating the transfer, including local conditions (labor market, economic, etc.) contributing to the need for this transfer request.
- How the funds transfer will impact the participant levels in both programs.
- The transfer's effect on current providers of training and other services.
- Describe the expected impact on WIOA performance outcomes for both funding streams.

The fund transfer may be approved by the Governor, provided that:

- The transfer will not adversely impact the area's capacity to adequately provide appropriate services to individuals in need of such services provided by the program subject to reduced funding.
- The transfer should also not adversely impact the area's ability to achieve program performance measures established for the current or subsequent years.
- The local area will expend, at a minimum, eighty (80) percent of all funds budgeted to the receiving funding stream by the end of the program year.

If the Governor approves the Adult and Dislocated Worker Plan and any subsequent modifications, then the transfer is considered approved if adequately included on the WIOA-60 Transfers form and related budget. Please note that for accounting purposes, funds being transferred must be transferred as program funds on form WIOA-60. Please also note that while local area ten (10) percent funds for administration are tracked by funding source, they are pooled into one fund that can be used for any administration purpose. The Governor must approve all fund transfers per 20 CFR 683.130(c).

Any local workforce development area funds transfer should observe relevant provisions of the Act and the WIOA's Final Regulations.

Budget Summary:

Each LWDA Grant Agreement Package must include a Grantee Budget Summaries (WIOA-60) showing the program year and fiscal year funds allocated for each of the funding streams, transfers between the Adult and Dislocated Worker allocations, and the net amounts available for expenditure. In addition, the budget form shows how the funds are planned for expenditure between the administrative and program categories.

Each LWDA will have one year to obligate WIOA funds and one additional year to expend the funds, subject to the first-year 80 percent obligation requirement and to any subsequent year reallocations. A modification to the Grant WIOA-60 will be necessary to depict any increase or decrease in LWDA allocation (net) amounts resulting from such fund reallocations. A modification to the Grant WIOA-60 will also be required to document any Governor's Incentive/Capacity Building funds awarded the LWDA.

Using the allocations for WIOA Adult, Youth, and Dislocated Worker programs, which can be found in the annual allocation directive upon receipt of funding amounts from the USDOL/ETA and allocation by the State Office, complete one (1) Grantee Budget Summary (WIOA-60) Form for program year funds and one (1) Grantee Budget Summary (WIOA-60) Form for fiscal year funds, depicting LWDA Adult/Dislocated Worker/Youth funding for the grant period, and attach it to the Grant Agreement Package. These WIOA-60 budget documents will be a part of the Grant Agreement Package until budgeted funds have been fully expended.

Note that it is not necessary for local areas to obtain the respective chief local elected official and LWDA Chair signatures when submitting a minor modification to their Grant Agreement Package. The minor modification cannot contain a new request to transfer the Adult and Dislocated Worker funds. When completing a minor modification, a third page may be attached to the original WIOA-59 WIOA Grant Agreement Summary. This additional page will indicate the revised WIOA-59 Part A proposed funding and carry the signature of the local area Grant Recipient representative. Upon State approval of the minor modification, this same page will be countersigned by the Division Director, Workforce Development Division, and attached to the signed WIOA Grant Agreement accompanying the originally submitted local area Grant Agreement Package.

The approved local area Grant Agreement Package minor modification will be transmitted back to the local area under a Notice of Action, signed by the Division Director, Workforce Development Division. The Notice of Action will summarize local area WIOA funding obligation authority.

Note: The definition of a “minor” modification is 1) A reduction or an increase in overall local area program year/fiscal year funding amount of \$50,000 or less, 2) any changes to previously approved program year/fiscal year performance goal measures of less than five percent, or 3) the addition/deletion of up to two planned program year/fiscal year participant activities.

Forms and Instructions:

The following forms and instructions are to be used in the preparation of LWDA Grant Agreement Package:

Adult	Dislocated Worker	Youth
X	X	X
X	X	X
X	X	X

Form Title/Number

Grant Agreement Sheet (WIOA-59)

Grantee Budget Summary (WIOA-60)

Grantee Transfer Justification (WIOA-60 Transfers) – submit only if transfer

Notice of Governor’s Action (WIOA-55)/Notice of Action (WIOA-55A)

(Plan Approval Documents – for WDD Use Only)

The Workforce Development Division will furnish each LWDA an electronic copy of each form suitable for use in their Grant Agreement submissions. Forms may be requested by contacting Lisa Trammel, Workforce Development Division, at lisa.trammel@commerce.alabama.gov.

**Alabama Department of Workforce
Workforce Innovation and Opportunity Act (WIOA)
Grant Agreement**

A. Plan No.: _____		Modification: _____		Effective Date: _____	
B. Grant Recipient			C. Administration Entity		
1. Name _____			1. Name _____		
2. Organization _____			2. Organization _____		
3. Address _____			3. Address _____		
4. City _____			4. City _____		
5. Zip _____			5. Zip _____		
6. Contact _____			6. Contact _____		
7. Telephone _____			7. Telephone _____		
8. E-Mail _____			8. E-Mail _____		
D. Program/Fiscal Year Proposed Funding: WIOA Funds _____			E. Grant Period Start: _____ End: _____		
II. Assurances/Certifications beginning on Page 2 are part of this Grant Agreement Form					
III. LOCAL AREA SUBMISSION (Attach any comments on a separate sheet):					

Name/Title	Signature	Date
Grant Recipient		
Grant Recipient		
Regional Workforce Board Chair		
Greg J. Reed Secretary, Alabama Department of Workforce		
Kay Ivey Governor		

**Alabama Department of Commerce
Workforce Innovation and Opportunity Act (WIOA)
Grant Agreement**

Assurances and Certifications

1. Applicable Authority:

Funds provided under this agreement must be expended in accordance with all applicable federal statutes, regulations and guidance, including those of the Workforce Innovation and Opportunity Act as presently in effect and as may become effective during the terms of this Agreement.

2. Administration Limitation:

Local areas are limited to spending no more than 10 percent of their annual allocation on administrative costs. Flexibility is provided to States and local areas in the statute by allowing administrative funds from the three formula funding streams awarded under subtitle B to be pooled and used together for administrative costs for any of the three programs, at the State and locals' discretion.

3. Veterans Priority Provisions:

This program, funded by the U.S. Department of Labor is subject to the provisions of the "Jobs for Veterans Act" (JVA), Public Law 107-288 (38 USC 4215), as implemented by 20 CFR Part 1010. The JVA provides priority of service to veterans and spouses of eligible veterans for the receipt of employment, training, and placement services. Agreement by a program operator to implement priority of service is a condition of receipt of DOL funds. The Planning Guidance (either the Stand-Alone Planning Guidance at 73 FR 72853 (December 1, 2008)) or the Unified Planning Guidance at 73 FR 73730 (December 3, 2008) requires states to describe the policies and strategies in place to ensure, pursuant to the Jobs for Veterans Act and the regulations, that priority of service is provided to veterans (and certain spouses) who otherwise meet the eligibility requirements for all employment and training programs funded in whole or in part by the U.S. Department of Labor. In addition, the states are required to provide assurances that they will comply with the Veterans' Priority of Service Provisions established by the Jobs for Veterans Act (38 USC 4215) and TEGL 10-09 (issued November 10, 2009). TEGL 10-09 is available at http://wr.doleta.gov/directives/corr_doc.cfm?DOCN=2816.

This workforce product was funded by a grant awarded by the U.S. Department of Labor's Employment and Training Administration. The product was created by the grantee and does not necessarily reflect the official position of the U.S. Department of Labor. The Department of Labor makes no guarantees, warranties, or assurances of any kind, express or implied, with respect to such information, including any information on linked sites and including, but not limited to, accuracy of the information or its completeness, timeliness, usefulness, adequacy, continued availability, or ownership. This product is copyrighted by the institution that created it. Internal use, by an organization and/or personal use by an individual for non-commercial purposes, is permissible. All other uses require the prior authorization of the copyright owner.

6. Transparency Act:

Federal Funding Accountability and Transparency Act of 2006 Pub. L. 109-282 as amended by section 6202 of Pub. L. 110-252 ("FFATA"). Grantees must ensure that they have the necessary processes and systems in place to comply with the reporting requirements of FFATA. See Training and Employment Guidance Letter (TEGL) No. 11-10 (issued November 15, 2010)

<http://wdr.doleta.gov/directives/attach/TEGL/TEGL11-10acc.pdf>.

7. Personally Identifiable Information:

Recipients must recognize and safeguard personally identifiable information (PII) except where disclosure is allowed by prior written approval of the Grant Officer or by court order. Recipients must meet the requirements in Training and Employment Guidance Letter (TEGL 39-11, Guidance on the Handling and Protection of Personally Identifiable Information (PII)), (located at http://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=7872).

8. Violation of the Privacy Act:

These funds cannot be used in contravention of the 5 USC 552a or regulations implementing that section.

13333:

Pursuant to Executive Order **13333**, This agreement may be terminated without penalty, if the grantee or any subgrantee, or the contractor or any subcontractor engages in “(i) severe forms of trafficking in persons; (ii) the procurement of a commercial sex act during the period of time that the grant, contract, or cooperative agreement is in effect; (iii) the use of forced labor in the performance of the grant, contract, or cooperative agreement; (22 U.S.C. § 7104(g)) or (iv) acts that directly support or advance trafficking in persons.”

10. WIOA Title I Grant Applicant’s Written Nondiscrimination Assurance (29 CFR §38.25):

As a condition to the award of financial assistance from the Department of Labor under Title I of WIOA, the grant applicant assures that it has the ability to comply with the nondiscrimination and equal opportunity provisions of the following laws and will remain in compliance for the duration of the award of federal financial assistance: (A) Section 188 of the Workforce Innovation and Opportunity Act (WIOA), which prohibits discrimination against all individuals in the United States on the basis of race, color, religion, sex (including pregnancy, childbirth, and related medical conditions, transgender status, and gender identity), national origin (including limited English proficiency), age, disability, or political affiliation or belief, or against beneficiaries on the basis of either citizenship status or participation in any WIOA Title I financially assisted program or activity; (B) Title VI of the Civil Rights Act of 1964, as amended, which prohibits discrimination on the bases of race, color and national origin; (C) Section 504 of the Rehabilitation Act of 1973, as amended, which prohibits discrimination against qualified individuals with disabilities; (D) The Age Discrimination Act of 1975, as amended, which prohibits discrimination on the basis of age; and (E) Title IX of the Education Amendments of 1972, as amended, which prohibits discrimination on the basis of sex in educational programs. (ii) The grant applicant also assures that, as a recipient of WIOA Title I financial assistance, it will comply with 29 CFR part 38 and all other regulations implementing the laws listed above. This assurance applies to the grant applicant’s operation of the WIOA Title I-financially assisted program or activity, and to all agreements the grant applicant makes to carry out the WIOA Title I-financially assisted program or activity. The grant applicant understands that the United States has the right to seek judicial enforcement of this assurance. (2) The assurance is considered incorporated by operation of law in the grant, cooperative agreement, contract or other arrangement whereby Federal financial assistance under Title I of WIOA is made available, whether it is explicitly incorporated in such document and whether there is a written agreement between the Department and the recipient, between the Department and the Governor, between the Governor and the recipient, or between recipients. The assurance also may be incorporated in such grants, cooperative agreements, contracts, or other arrangements by reference.

17. Hotel-Motel Fire Safety:

Pursuant to 15 U.S.C. 2225a, the recipient must ensure that all space for conferences, meetings, conventions or training seminars funded in whole or in part with federal funds complies with the protection and control guidelines of the Hotel and Motel Fire Safety Act (P.L. 101-391, as amended). Recipients may search the Hotel Motel National Master List at <http://www.usfa.dhs.gov/applications/hotel> to see if a property is in compliance, or to find other information about the Act.

18. Prohibition on Contracting with Corporations with Felony Criminal Convictions:

The recipient is prohibited from entering into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or guarantee to, any corporation that was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless a Federal agency has considered suspension or debarment of the corporation and has made a determination that this further action is not necessary to protect the interests of the Government.

19. Prohibition on Contracting with Inverted Domestic Corporations:

No funds made available under a Federal Act may be used for any contract with any foreign incorporated entity which is treated as an inverted domestic corporation under section 835(b) of the Homeland Security Act of 2002 (6 U.S.C. 395(b)) or any subsidiary of such an entity. Waivers to this regulation may be granted by the Secretary of Labor if the Secretary determines that the waiver is required in the interest of national security.

20. Prohibition on Contracting with Corporations with Unpaid Tax Liabilities:

The recipient may not enter a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding is aware of the unpaid tax liability, unless a Federal agency has considered suspension or debarment of the corporation and has made a determination that this further action is not necessary to protect the interests of the Government.

21. Prohibition of Providing Federal Funds to ACORN:

These funds may not be provided to the Association of Community Organizations for Reform now (ACORN), or any of its affiliates, subsidiaries, allied organizations or successors.

entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act (40 U.S.C. 3145), as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

(E) Contract Work Hours and Safety Standards Act (40 U.S.C. 3701–3708). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

(F) Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of "funding agreement" under 37 CFR § 401.2 (a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.

**Alabama Department of Commerce
Workforce Innovation and Opportunity Act (WIOA)
Grant Agreement Minor Modification**

Minor Modification*, Revised Funding, Goal Revision:

F. Program/Fiscal Year Proposed Funding:

WIOA Funds \$

Grant Recipient

Date

Division Director
Workforce Development

Date

* Note: A minor modification would typically encompass any actions or series of local area actions with a collective impact upon local area obligation authority of \$50,000, or less. Approval authority for minor modifications to local area's approved Workforce Innovation and Opportunity Act Grant Agreement Packages are delegated to the Division Director, Workforce Development.

Any action regarding approval to transfer funds between the Adult and Dislocated Worker fund sources requires the full approval process to include the Governor's approval (20 CFR 683.130(c).

Alabama Department of Commerce
Workforce Innovation and Opportunity Act (WIOA)
Grant Agreement Instructions

I. GRANT RECIPIENT/DESIGNEE

A. Plan No.:

Enter the two digit local area plan number, per the following:

1st digit - year of WIOA funds, e.g., 5 for 2025, 6 for 2026, etc.

2nd digit - local area number, refer to local area map*

Modification:

Indicate modification sequence: "0" for initial plan, "A" for first modification, "B" for second modification, etc.

Revision:

For example, 57-0 would be the Southwest Alabama Partnership for Training and Employment Local Workforce Development Area initial PY2025 Plan, 57-A would be the first modification to the Southwest Alabama Partnership for Training and Employment Local Workforce Development Area PY2025 Plan, 57-B would be the second modification of the Southwest Alabama Partnership for Training and Employment Local Workforce Development Area PY2025 Plan. 57-A is a regular modification and 57-B is a minor modification.

Effective Date:

This is the date the Plan, modification, or revision takes effect according to the effective date indicated within the corresponding Directive. If an effective date is not provided in the Directive the Directive's release date should be used.

B. Grant Recipient:

Enter the name, organization, address, contact person, telephone, and E-mail address of the grant recipient.

C. Administrative Entity:

If different from the Grant Recipient, enter the name, organization, address, contact person, telephone number, and E-mail address of the administrative entity; otherwise, leave blank.

D. Program/Fiscal Year Proposed Funding:

Indicate the PY/FY time periods and fund origins, e.g., PY25/FY26 WIOA Funds. Enter the total amount of actual or estimated LWDA funding for the time period covered by this action. This entry should be identical to the total amount of LWDA funds of the accompanying WIOA-60 forms.

E. Grant Period:

Enter the start date and end date of the grant period.

II. ASSURANCES AND CERTIFICATIONS

Review the assurances and certifications beginning on page 2 of the WIOA-59; these are binding requirements on the LWDA.

III. LOCAL AREA SUBMISSION

Enter the typed name and title of each authorized signatory, as appropriate. Enter the signature of each authorized signatory beside the typed name and title.

* Note: This digit has been modified to coincide with standard Local Area numbering references.

** Note: It is not necessary for local areas to obtain the respective chief local elected official and RWB Chair signatures when submitting a minor modification to their Grant Agreement Package. Rather, a third form, WIOA-59 A, may be attached to the original WIOA-59, WIOA Grant Agreement Summary. The WIOA-59 A Form will indicate the revised WIOA-59 Part E proposed funding and carry the signature of the local area Grant Recipient representative. Upon State approval of the minor modification, the WIOA-59 A Form will be countersigned by the Division Director, Workforce Development and attached to the signed WIOA Grant Agreement accompanying the originally submitted local area Grant Agreement Package.

The approved local area Grant Agreement Package minor modification will be transmitted back to the local area under a Notice of Action, signed by the Division Director, Workforce Development. The Notice of Action will summarize local area WIOA funding obligation authority.

**Alabama Department of Workforce
Workforce Development**

Grantee Budget Summary

1. Grant Recipient:					
Address:					
Contact Person:		E-mail:			
Title:		DUNS No:		Fax No.:	
		Phone No.:			
2. Plan No.:					
Effective Date:					
Directive No.:					
USDOL Adult Grant No.:					
Grant Period - Adult:					
USDOL Youth Grant No.:					
Grant Period - Youth:					
USDOL DW Grant No.:					
Grant Period - DW:					
3. Program:					
	Governor's Set Aside	Adult Funds	Youth Funds	Dislocated Worker Funds	d. Total LWDA Funds
a. CFDA Number		17.258	17.259	17.278	
b. Allocation Year					
c. Fund Allocation/Grant Amount					
4. Cost Categories/Budget:					
a. Administration Funds					
b. Program Funds					
c. Program Fund Transfers					
d. Adjusted Program Funds					
e. Total Funds					

Remarks:

Alabama Department of Workforce
Workforce Development

Grantee Justification for Transfer of Funds

The situation necessitating the transfer, including local conditions (labor market, economic, etc.) contributing to the need for this transfer request:

--

How will the funds transfer impact the participant levels in both programs?

--

How will the transfer affect current providers of training and other services?

--

Describe the expected impact the transfer will have on WIOA performance outcomes for both funding streams:

--

Alabama Department of Workforce
Workforce Innovation and Opportunity Act (WIOA)
Grantee Budget Summary Instructions

LWDA Adult, Youth, and Dislocated Worker program budgeting information will be consolidated on a single WIOA-60 form. Each executed WIOA-60 will remain a valid budget document for the full grant period.

1. **Grant Recipient:** This should be the same as reported on the Grant Agreement Sheet; the contact person should be able to answer any questions regarding information contained in this form.
2. **Plan No:** Enter the two digit local area plan number, per the following:
 - 1st digit - year of WIOA funds, e.g., 5 for 2025, 6 for 2026, etc.
 - 2nd digit - local area number, refer to local area map*
 - Indicate modification sequence per the following: "5" for initial plan, "A" for first modification, "B" for second modification, etc. This modification sequence is used for both regular and minor modifications.

For example, 57-0 would be the Southwest Alabama Partnership for Training and Employment Local Workforce Development Area initial PY2025 Plan, 57-A would be the first modification to the Southwest Alabama Partnership for Training and Employment Local Workforce Development Area PY2025 Plan, 57-B would be the second modification of the Southwest Alabama Partnership for Training and Employment Local Workforce Development Area PY2025 Plan. 57-A is a regular modification and 57-B is a minor modification. The same sequence method is used regardless of the modification being a regular or minor modification.

AWB Policy No.: Reference Alabama Workforce Board policy (ies) used for Plan changes.

USDOL Grant Nos: The USDOL Grant Number can be found on the Notices of Award (NOAs) issued by USDOL to Workforce/WDD. Copies of all NOAs will be provided to local areas as Attachments to the Allocation Policy.

Yr. of Funds: Enter the PY/FY number corresponding to the year grant funds were first made available. The number should be consistent with the USDOL Notice of Award (NOA).

Effective Date: This is the date the Plan, modification, or revision takes effect according to the effective date indicated within the corresponding Policy. If an effective date is not provided in the Policy the Policy's release date should be used.

Grant Period – Adult/D.W.: Enter the start date and end date of the Adult/D.W. funds grant period.

Grant Period – Youth: Enter the start date and end date of the Youth funds grant period, as shown on the WIOA-59 section E.

LWDA Identifying Grant Numbering Methodology

LWDA programs have four separate fund categories; Governor's Set Aside, Adult, Youth, and Dislocated Worker. LWDA expenditures must be separately tracked against each grant type.

WORKFORCE DEVELOPMENT DIVISION
Alabama Department of Commerce
NOTICE OF GOVERNOR'S ACTION FOR LWDA PLANS

1. Local Workforce Development Area Grantee:

Name: _____
 Address: _____
 City: _____ State: _____ Zip: _____

2 Grant Plan No.: _____
 Directive No.: _____

Grant Periods	
Adult	
Youth	
Dislocated Worker	

3 Effective Date: _____

4. Summary:

5. Explanation of Funding:

Source:	Rapid Response		Adult		Youth		Dislocated Worker		Total
	PY	FY	PY	FY	PY	FY	PY	FY	
Allocation	-	-	-	-	-	-	-	-	-
Transfer	-	-	-	-	-	-	-	-	-
Incentives	-	-	-	-	-	-	-	-	-
Total Funds Available:	-	-	-	-	-	-	-	-	-

6. Staff Review:

Staff _____ Date _____

7. Division Approval:

Kivondra Rivers, Fiscal Operations _____ Date _____

Tammy Wilkinson, Division Director, WD _____ Date _____

8. Department Approval:

Greg J. Reed, Secretary _____ Date _____
 Department of Workforce

Note: This document is completed by the State WIOA Office as part of the review and approval process.

**NOTICE OF GOVERNOR'S ACTION
LWDA PLANS
WIOA 55**

The Notice of Governor Action (NGA) is a LWDA plan approval document. The NGA will be attached to all approved LWDA plans, including the original Four (4)-Year Plan Grant Agreement Package, and each subsequent Plan Modification.

The NGA will be prepared by WDD staff. No LWDA staff action is required.

NGAs will feature details regarding the break down of LWDA available funds beyond that provided by the WIOA - 60 Grantee Budget Summary. This detail will include allocations, rescissions, incentive awards, fund reallocations, and Adult - Dislocated Worker funds transfers.

NGAs will provide an overview of total available PY funds. These documents should prove useful to State and local area staff for fund tracking and identification purposes.

Note: The WIOA-55 is completed by the State WIOA Office as part of the review and approval process.