ALABAMA WORKFORCE INVESTMENT SYSTEM

Department of Commerce Workforce Development Division 401 Adams Avenue Post Office 304103 Montgomery, Alabama 36130-4103

September 20, 2017

GOVERNOR'S WORKFORCE INNOVATION DIRECTIVE NO. PY2016-08, Change 02

SUBJECT: Alabama Career Center System Guide to Customer Services

- **1.** <u>Purpose.</u> This Directive transmits revised and new attachments for the *Alabama Career Center System Guide to Customer Services.*
- 2. <u>Discussion.</u> The above-referenced *Guide* was issued as a statewide WIOA policy on March 3, 2017. Subsequent to the issuance, the participant forms have been revised in an effort to streamline all the required forms. These updated forms necessitate issuing a replacement to Attachment C, D, E, G of the *Guide* and issuing new Attachments O, P, Q, R, S, T, U.
- 3. <u>Action.</u> Please replace the attachments listed above with the revised and insert the new attachments included in this Directive. All staff and subrecipients with participant eligibility determination-related responsibilities should be provided with this information.

These guidelines went into effect on the day they were published, May 23, 2017.

4. <u>Contact.</u> Any questions regarding this Directive should be referred to Tammy Wilkinson, Workforce Development Division at (334) 242-5154 or <u>tammy.wilkinson@commerce.alabama.gov</u>.

Steve Walkley, Division Director Workforce Development Division Alabama Department of Commerce

9/20/2019

Attachment – Revised Pages Attachment C, D, E, G New Attachments O, P, Q, R, S, T, U

ATTACHMENTS

Attachment A	Examples of Basic and Individualized Services
Attachment B	Adult/DLW Eligibility
Attachment C	Customer Information Form
Attachment D	Individual Employment Plan
Attachment E	Income Chart
Attachment F	Eligibility for Youth ISY & OSY
Attachment G	Individual Service Strategy
Attachment H	Customer Agreement Form Alabama Career Center
	System Drug Policy and WIOA Post-Employment/Post-
	Program Follow-Up Services
Attachment I	Participant Information Release Form
Attachment J	WIOA Grievance and Complaint Procedures
Attachment K	WIOA Performance Measurable Skill Gains
Attachment L	ABE/ASE Functioning Level
Attachment M	Adult Ed Referral Form
Attachment N	Common Performance Measures
Attachment O	Document Checklist
Attachment P	Selective Service Waiver
Attachment Q	Telephone/Documentation Verification
Attachment R	Verification of Employment/Income
Attachment S	Verification of Termination or Layoff
Attachment T	Self Certification / Applicant Statement
Attachment U	Customer Exit

Attachment C Customer Information



ALABAMA WORKFORCE DEVELOPMENT CUSTOMER INFORMATION



TO DETERMINE WHAT SERVICES MAY BE APPROPRIATE, PLEASE PROVIDE THE FOLLOWING INFORMATION

Application Date		Agency Name				Employment Representative Name				
Social Security Numl	Name: Fir	Name: First, Middle Initial, Last								
Address				City				State		
Zip Code	County of Re	esidence		Area Code		Telephon	e Number			
Message Telephone I	Number	Cellular T	elephone Nu	imber	E-mail Add	dress				
Date of Birth		Age	Gen Male	der □ Female	United Sta	ates Citizen		ible Non-Citize	Selective Ser	N/A
Ethnicity/Race) _	an Indian oi r Caucasiai	r Alaskan Na n			Black or A		erican 🗆 Haw	vaiian Native/Pacific Isl	ander
(Circle) Highest Grad Completed 1 2 3 4 5 6 7 8 9 10 11 Attending College	Diploma		G.E.D.	Certif a dis	pletion icate w/ ability I No		llege 🗆 T	Certification or ech. or Voc. Ce	Degree rt 🗆 AA/AS 🗆 BS/BA GPA	
Primary Limit										
Language Engl	ish of Disa	ability No DP			ning Disab	Physical/Mo	gnitive/Inte	- airment ⊡Visio	n related □Hearing r ticipant did not disclos Vet. Spouse/Widow	
	Anna an Alama San an	□ Yes □	Yes Sp. 🗆 N	10			□Yes	🗆 No	□Yes □ No	
Marital S		arent								
ist all Household Me	mbers: Use addit necessary	ional sheets if /	Relatio		Age	Gender	Amount	Income So	urce (last 6 months)	
	0									
Total Dependents in H Do you receive:	lousehold:					Total Hou	sehold Inc	ome:		
Public Assistance	If Yes, which	:				Uner	ployment	Compensation		
□ Yes □ No		Refugee A	ssistance	SNAP B	enefits 🗆 S	SI 🗆 Cla	imant	🗆 Exhau	stee 🛛	None
Homeless	Foster Ch	ild □ No	High Scho	ol Drop Ou	ıt Pregna	nt or Paren				
Justice System: Have		10.000		ustice syst □ Both	tem (as def	- W122721				

Employer Name:	st Three) Start E	Date End Date	Reason Job Ended	Job Title and Job Performed	Duties	Wage per hour	Hours per Week
-							
When are you availab	le for work?		What sal	ary do you require?			
Work tasks do you en	ijoy?						
What tools/equipment	t can you operate?						
What is your Employn	nent Goal?						
How can we help you	reach this goal?						
YOUTH ONLY				-			
Out of School Your	th Barriers (16-24) Che	ck all that apply					
School Dropout	Within age of compu	Isory school atte	endance 🗆 H.:	S Grad/GED/Low Income	e & BSD or Eng.		ar
				/ Low-income who r			-1
						assistance	
			fender	□Homeless or Runaw	ay		
Basic skills deficient	t 🛛 English language	e learner Of		☐Homeless or Runawa			
□Basic skills deficien □Foster Care □ Pregi	t	e learner □Of ability □Low-	income who ne	eds additional assistanc	20		
□Basic skills deficien □Foster Care □ Pregr Do you need informati	t	e learner 🛛 Of ability 🔹 Low- 🗅 Day Care 🗆 He	income who ne	eds additional assistanc	ce □ Food □ Oti		
□Basic skills deficient □Foster Care □ Pregr Do you need informati Pell Grant/Student Loa	t	e learner Of ability Low- 〕 Day Care Hu 】Applied for a Pl	income who ne ousing	eds additional assistance ning □ Transportation Not eligible for a PELL 0	□ Food □ Oti GRANT □ Curr	ently receiving a P	
Basic skills deficient Foster Care D Pregr Do you need informati Pell Grant/Student Lo: Need information or	t	e learner 🛛 Of ability 🔹 Low- Day Care 🗆 He Applied for a Pl Receiving Stud	income who ne ousing	eds additional assistance ning □ Transportation Not eligible for a PELL (Repaying Student Loar	□ Food □ Oti GRANT □ Curra n □Student Loa	ently receiving a P	
□Basic skills deficient □Foster Care □ Pregr Do you need informati Pell Grant/Student Los □Need information or □ I have been enrolled	t	e learner 🛛 Of ability 🔹 Low- Day Care 🗆 He Applied for a Pl Receiving Stud	income who ne ousing	eds additional assistance ning □ Transportation Not eligible for a PELL (Repaying Student Loar	□ Food □ Oti GRANT □ Curr	ently receiving a P	
Basic skills deficient Foster Care D Pregr Do you need informati Pell Grant/Student Lo: Need information or I have been enrolled Explain:	t	e learner 🛛 Of ability 🔹 Low- Day Care 🗆 He Applied for a Pl Receiving Stud	income who ne ousing	eds additional assistance ning □ Transportation Not eligible for a PELL (Repaying Student Loar	□ Food □ Oti GRANT □ Curra n □Student Loa	ently receiving a P	
□Basic skills deficient □Foster Care □ Pregr Do you need informati Pell Grant/Student Loc □Need information or □ I have been enrolled Explain: Eligibility (For Sta	t English language nant/Parenting Dis ion on the following: C an/FASFA C n applying for FASFA C I in a Federal or State Er aff Use Only	e learner Of ability Low- Day Care Ho Applied for a Pl Receiving Stud	income who ne ousing □ Cloti ELL GRANT □ dent Loan □ 'am (i.e. WIA, Wi	eds additional assistance ning □ Transportation Not eligible for a PELL (Repaying Student Loar	□ Food □ Oti GRANT □ Curra n □Student Loa	ently receiving a P	
□Basic skills deficient □Foster Care □ Pregr Do you need informati Pell Grant/Student Loc □Need information or □ I have been enrolled Explain: Eligibility (For Sta 200% of poverty line	t English language nant/Parenting Dis ion on the following: an/FASFA C n applying for FASFA I l in a Federal or State Er aff Use Only Dislocated Worker Cat	e learner Of ability Low- Day Care He Applied for a Pl Receiving Stud nployment Progr	income who ne ousing □ Cloti ELL GRANT □ dent Loan □ ram (i.e. WIA, Win	eds additional assistance ning	EFOOD OT	ently receiving a P an in Default: National Emerge	ncy Grant
□Basic skills deficient □Foster Care □ Pregr Do you need informati Pell Grant/Student Los □Need information or □ I have been enrolled Explain: Eligibility (For Sta 200% of poverty line I □Yes □No []	t	e learner Of ability Low- Day Care Ha Applied for a Pl Receiving Stud nployment Progr egory: Dislo off , eligible for U	income who nee ousing □ Cloth ELL GRANT □ dent Loan □ ram (i.e. WIA, Wie cation date: Il &unlikely to re nt or substantia	eds additional assistance ning □ Transportation Not eligible for a PELL (Repaying Student Loar	E Food Ott GRANT Curron D Student Loa nen:	ently receiving a P an in Default:	ncy Grant e to disaster mployed
□Basic skills deficient □Foster Care □ Pregr Do you need informati Pell Grant/Student Los □Need information or □ I have been enrolled Explain: Eligibility (For Sta 200% of poverty line I □Yes □No []	t English language nant/Parenting Dis ion on the following: an/FASFA n applying for FASFA in a Federal or State Er aff Use Only Dislocated Worker Cat Terminated or laid of Spouse of Armed Fo	e learner Of ability Low- Day Care Ha Applied for a Pl Receiving Stud nployment Progr egory: Dislo off , eligible for U	income who nee ousing □ Cloth ELL GRANT □ dent Loan □ ram (i.e. WIA, Wie cation date: Il &unlikely to re nt or substantia	eds additional assistance ning	E Food Ott GRANT Curron D Student Loa nen:	ently receiving a P an in Default: National Emerge Dislocation du Long-term Une Dislocated Wor	ncy Grant e to disaster mployed
□Basic skills deficient □Foster Care □ Pregr Do you need informati Pell Grant/Student Loc □ Need information or □ I have been enrolled Explain: Eligibility (For Sta 200% of poverty line I □Yes □No [[t English language nant/Parenting Dis ion on the following: an/FASFA n applying for FASFA in a Federal or State Er aff Use Only Dislocated Worker Cat Terminated or laid of Spouse of Armed Fo	e learner Of ability Low- Day Care Ha Applied for a Pl Receiving Stud nployment Progr egory: Dislo off , eligible for U	income who nee ousing □ Cloth ELL GRANT □ dent Loan □ ram (i.e. WIA, Wie cation date: Il &unlikely to re nt or substantia	eds additional assistance ning	employed ced Homemaker der employment	ently receiving a P an in Default: National Emerge Dislocation du Long-term Une Dislocated Wor	ncy Grant e to disaster mployed
□Basic skills deficient □Foster Care □ Pregr Do you need informati Pell Grant/Student Loc □Need information or □ I have been enrolled Explain: Eligibility (For Sta 200% of poverty line I □Yes □No [[t	e learner Of ability Low- Day Care Hu Applied for a Pl Receiving Stuc nployment Progr egory: Dislo ff , eligible for U ff from permanen rces who lost er	income who nee ousing □ Clott ELL GRANT □ dent Loan □ ram (i.e. WIA, Wie cation date: Il &unlikely to re nt or substantia mployment due	eds additional assistance ning	E Food Ott GRANT Ourro Date:	ently receiving a P an in Default: National Emerge Dislocation du Long-term Une Dislocated Wor	ncy Grant e to disaster mployed
Do you need information Pell Grant/Student Los Need information or I have been enrolled Explain: Eligibility (For Sta 200% of poverty line Yes No	t	e learner Of ability Low- Day Care Ha Applied for a Pl Receiving Stud nployment Progr egory: Dislo off , eligible for U	income who nee ousing □ Cloth ELL GRANT □ dent Loan □ am (i.e. WIA, Wie cation date: Il &unlikely to re- nt or substantia mployment due	eds additional assistant ning	Ee Food Curr GRANT Curr n Student Loa nen: employed ced Homemaker der employment Date: g/skills 'e.org	ently receiving a P an in Default: National Emerge Dislocation du Long-term Une Dislocated Wor	ncy Grant e to disaster mployed

misrepresented or incomplete, may be grounds for p form to be verified for eligibility determination.	above is true and accurate and understand that the above information, if enalties as specified by law. I grant permission for any information on this
Signature:	Date:
Parent/Guardian Signature:	Date:

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Attachment D Individual Employment Plan (IEP)



ALABAMA WORKFORCE DEVELOPMENT INDIVIDUAL EMPLOYMENT PLAN (IEP)



Name: First, Middle Initial, Last			Social Security #			
Employment Status at Program Entry (Check one)		Status at Program Entry	UC Eligib	e Status (Check one)	Pre-Vocational Activities Date	
Entry (Check one) (Check one) Employed In School, Secondary or less Employed, but received notice of termination or Military Separation In School, Alternative School Not in Labor Force In School, Postsecondary Unemployed Not Attending School, Secondary School Dropout Not Attending School, Graduate or has equivalent Not Attending School, within age of compulsory attendance			Claimant Re Claimant NC RESEA or V Exhaustee	ferred by RESEA ferred by WPRS DT Referred by VPRS empt from work search mant nor Exhaustee	Image: Pre-vocational Activities Date Image: Pre-vocational Activities Date Received Needs Related Payment Image: Pre-vocational Activities Date Received Needs Related Payment Image: Pre-vocational Activities Date Received Needs Related Received TAA Image: Pre-vocational Activities Date Image: Pre-vocational Activities Date	
		ASSESSME)N		
TABE SCALE SCORES / Achieveme	nt Grade	Level results: Readi	ng:	Math	:	
Interest / Aptitude Assessment To	ol Used:					
Interest Inventory Result Aptitude Inventory Result						
Career Path Identified:						
Existing Occupational Skills:						
Tools/Equipment Skills:						
Employment Goal/Training Justifie	cation:					
O-Net Code:						

ACTION PLAN

Supportive Services (List those services needed for employment goal attainment)

Supportive Service	Referred To:	Date	Comments
Day Care			
Housing			
Clothing			
Transportation		2	
Food			
Training/Education Needs	8	2	
Other			

BASIC SKILLS EVALUATION

TABE	Functional Area	Date	Score	Educational Functioning Level
Pre-Test	Reading			
	Math			
POST-Test	Reading			
	Math			
POST-Test	Reading			
	Math			

ESSENTIAL SKILLS for WORK MATURITY and EMPLOYABILITY

Start	Start Date		ionDate	Provider
Planned	Actual	Planned	Actual	

CAREER PATHWAY EXPLORATION and PRE-TRAINING ACTIVITIES

Start	Date	CompletionDate		Provider
Planned	Actual	Planned	Actual	
		đ		
		Start Date Planned Actual		

TRAINING ACTIVITY MEAURABLE SKILLS GAINS

Activity	Start	Start Date		ionDate	Provider
	Planned	Actual	Planned	Actual	

INDIVIDUAL SERVICE STRATEGY REASSESSMENT AND MODIFICIATION

Appointment Date	Discussion Points and Modifications Made	Start Date	Comments
		27	

PLAN GAP INFORMATION

Date Set	Reason for Gap	End I	Date	Comments
		Planned	Actual	
·	22 22			
	(a)			

FOLLOW-UP PRE-EMPLOYMENT

Activity	Start I	Start Date		ion Date
	Planned	Actual	Planned	Actual
		6		

FOLLOW-UP POST-EMPLOYMENT

Activity	Start Date		Completion Date		
	Planned	Actual	Planned	Actual	
				2	
.82		20 80			

CASE MANAGEMENT - SKILLS TRAINING ACTIVITY TRACKING

Activity	Start	Date	Complet	ionDate	Provider	Project/Petition #
	Planned	Actual	Planned	Actual		
5						
	5					
		×				

This Employment Plan was developed with my full knowledge and cooperation. I fully understand this is a planning document and do not hold the enrolling agency responsible for activities that may not occur. I understand i am responsible for maintaining contact with my case manager no less than once per month.

Signature: ____

Date: _____

Career Center Case Manager: _____

Date: _____

Attachment E Income Level Charts



ALABAMA WORKFORCE DEVELOPMENT

Attachment A INCOME LEVEL CHARTS

WIOA Self Sufficiency Income Level Chart Governor's Workforce Innovation Directive No. PY2014-12, Change 06

A. METROPOLITAN AREAS (Last Recorded update by ADOL 01/01/15)

Autauga, Baldwin, Bibb, Blount, Calhoun, Chilton, Colbert, Elmore, Etorwah, Geneva, Hale, Henry, Houston, Jefferson, Lauderdale, Lawrence, Lee, Limestone, Lowndes, Madison, Mobile, Montgomery, Morgan, Pickens, Russell, Shelby, St. Clair, Tuscaloosa, and Walker

Family Size	100% LLSIL	150% LLISL	200% LLISL
1	\$13,166	\$19,749	\$26,332
2	\$21,573	\$32,359	\$43,146
3	\$29,609	\$44,413	\$59,218
4	\$36,555	\$54,832	\$73,110
5	\$43,144	\$64,716	\$86,288
6	\$56,459	\$75,688	\$100,918
For Each Additional Family Member above 6, add	\$7,315	\$10,972	\$14,630

B. NON-METROPOLITAN AREAS (Last Recorded update by ADOL 01/01/15) Other 38 Counties

Family Size	100% LLSIL	150% LLISL	200% LLISL
1	\$12,968	\$19,452	\$25,936
2	\$21,245	\$31,867	\$42,490
3	\$29,159	\$43,738	\$58,318
4	\$35,995	\$53,992	\$71,990
5	\$42,478	\$63,717	\$84,956
6	\$49,676	\$74,514	\$99,352
For Each Additional Family Member above 6, add	\$7,315	\$10,972	\$14,630

70% Lower Living Standard Income Level Combined with Federal Poverty Level Governor's Workforce Innovation Directive No. PY2014-12, Change 07

METROPOLITAN AREAS

(Same as listed above)				
	1Com	a an lin	tod ohe	101

Income
\$12,060*
\$16,240*
\$20,727
\$25,588
\$30,201
\$35,321
\$5,120

*HHS Federal Poverty Level Guidelines used per instructions

Revisions effective 05/23/17 per Federal Register - Vol.82, No.98 (LLSIL) and Federal Register - CVol. 82, No. 19 (HHS Poverty Guidelines 01/13/17.

NON-METROPOLITAN AREAS

(Same as listed above)

(= = = = = = = = = = = = = = = = = = =	42010/
Family Size	Income
1	\$12,060*
2	\$16,240*
3	\$20,420*
4	\$25,197
5	\$29,734
6	\$34,773
For Each Additional Family Member above 6, add	\$5,039

Attachment G Individual Service Strategy (ISS) For Youth



ALABAMA WORKFORCE DEVELOPMENT INDIVIDUAL SERVICE STRATEGY (ISS) FOR YOUTH



Name: First, Middle Initial, Last				Last 4	digits of Soci	al Security #		
Employment Status at Program Entry (Check one)	School Status at Prog (Check one)	ram Entry	UC Elig	ible Status (Check one)	Pre-Vocat	ional Ac	ctivities Date
 Employed Employed, but received notice of termination or Military Separation Not in Labor Force Unemployed 	In School, Alternation In School, Postsecon Not Attending School Secondary School Not Attending School or has equivalent Not Attending School	In School, Secondary or less In School, Alternative School In School, Postsecondary Not Attending School, Secondary School Dropout Not Attending School, Graduate		WPRS d by work search	// Received Needs Related Payment □ Yes □ No TAA □ Yes □ No		nt No	
	AS	SESSMENT	INFORMAT	ION				
TABE SCALE SCORES / Achieveme	nt Grade Level results:	: Date:	Readin	g:	Math:	BSD [- Yes	∏ No
Interest / Aptitude Assessment To Interest Inventory Result Aptitude Inventory Result Job Matches Existing Occupational Skills: Tools/Equipment Skills: Short-term Goal: Justification for Youth Placement: Long-term Goal Justification for Training Placemer Expected Employment Goal	S					IET Code		
Services Available to Assist with M Goals:	eeting							
Comments:	and the second sec							

SUPPORTIVE SERVICES

Supportive Service	Referred To:	Date	Comments
Day Care			
Housing			
Clothing			
Transportation			
Food			
Other			

YOUTH BARRIERS TO GOAL ACHIEVEMENT - Education, Training and Employment (check all that apply)

Substance Abuse	Not attending school in last 6 mos
Homeless/Runaway/Foster Child	
dance 🦳 Below Grade level for age	English language learner
Cultural Barriers	UI Covered Employer
Lunch T SNAP	 Requires assistance achieving education or employment goals

PLAN TO ADDRESS BARRIERS

Activities & Referrals	Date	Results	Comments
		1	

BASIC SKILLS EVALUATION

TABE	Functional Area	Date	Score	Educational Functioning Level
Pre-Test	Reading			
	Math			
POST-Test	Reading			
	Math			
POST-Test	Reading			
	Math			
POST-Test	Reading	340		
	Math			

GED PREPARATION AND TESTING

Activity	Achievement Benchmark	Date Set	Achievem	ent Date	Comments
			Planned	Actual	
Reasoning through language arts					
Mathematics					
Science					
Social Studies					
Total Score					

EMPLOYABILITY / WORK MATURITY SKILLS PLAN

Activity	Achievement Benchmark	Date Set	Achievem	ent Date	Comments
			Planned	Actual	

CAREER EXPLORATION PLAN

Activity	Achievement Benchmark	Date Set	Achievem	ent Date	Comments
			Planned	Actual	

OCCUPATIONAL SKILLS TRAINING & WORK EXPERIENCE PLAN

Activity	Achievement Benchmark	Date Set	Achievem	ent Date	Comments
	For Measurable Skills Gain		Planned	Actual	
					0
			0		1

MEASURABLE SKILLS GAINS ACHIEVED

Activity	Achievement B	enchmark Date	Achievem	ent Date	Comments
	Planned	Actual	Planned	Actual	
9					
			1		

INDIVIDUAL SERVICE STRATEGY REASSESSMENT AND MODIFICIATION

Appointment Date	Discussion Points and Modifications Made	Start Date	Comments
	V		

PLAN GAP INFORMATION

Date Set	Reason for Gap	End	End Date	
		Planned	Actual	

FOLLOW-UP SERVICES

Information or Services Provided	Comments
	3
	Information or Services Provided

CASE MANAGEMENT/SKILLS TRAINING ACTIVITY - Tracking

Activity	Start	Date	Complet	ion Date	Provider	Project/Petition #
	Planned	Actual	Planned	Actual		

This Service Strategy was developed with my full knowledge and cooperation. I fully understand this is a planning document and do not hold the enrolling agency responsible for activities that may not occur. I understand I am responsible for maintaining contact with my case manager no less than once per month.

Signature:	Date:
Career Center Case Manager:	Date:
Youth Provider:	Date:

Attachment O

Document Checklist



ALABAMA WORKFORCE DEVELOPMENT

DOCUMENT CHECKLIST Eligibility - Service - Outcomes Youth, Adult, and Dislocated Worker

Ap	pplicant Name: First, Middle Initial, Last	Last 4 Digits of Social Security Number
Г	 The Applicant has submitted a completed application form or entere system and affixed appropriate documentation. 	ed required information into the electronic data collection
Eli	ligibility Determination for Youth, Adult, and Dislocated Workers	
	 The applicant has provided documents to verify Date of Birth. (Che Birth Certificate Passport DD-214, Report of Transfer or Discharge Employment Record State issued I.D. or Driver's License School Record or I.D. (Showing Age or Birth date) Public Assistance or Social Service Records Other (Please Describe) The applicant has provided documents to verify Citizenship/Right to School I.D. & U.S. Birth Certificate or Photo I.D. & Social Security C School I.D. with Photo I.D., Voter Registration Card U.S. Passport or Permanent Resident Card, INS Form I-766 with U Unexpired Foreign Passport with I-551 Stamp or Form I-94 or I-9 	<mark>o Work (Check all that apply)</mark> ard (Photo I.D. includes state-issued driver's license or I.D. card, Jnexpired Employment Authorization
	Consult Federal I-9 Form for Additional Acceptable Documents for all indiv	viduals including applicants under age 18)
	For males 18 years or older, Selective Service Registration Online verification of registration printout at www.sss.gov Selective Service Registration Card Waiver Form	
Ado	ditional Reporting Requirements - ALL PARTICIPANT GROUPS	
	The applicant has a verified Social Security number (Check all that a Social Security Card Social Security Benefits DD-214, Report of Transfer or Discharge Employment Record W-2 Form Pay Stub Letter from Social Service Agency Telephone Verification (form completed and placed in case file) Other (Please Describe)	apply)
Γ	Veteran's Status	
	DD-214 Letter/Documentation from VA	

Last 4 Digits of Social Security Number

OUT-OF SCHOOL YOUTH

0		nool Dropout or Age of Compulsory Attendance But has not attended for the Most Recent Complete School Year lendar Quarter (defined as 9 weeks in Alabama)
		N/A
	Γ	Applicant Statement (form completed and in the file)
	Γ	Attendance Record
	Γ	Dropout Letter
	Γ	Self-Certification Form
		Telephone Verification (form completed and in the file)
	Γ	Other (Please Describe)
	Lov	/ Income* High School Diploma/GED & Basic Skills Deficient or English Language Learner
	Γ	N/A
	Γ	Assessed by Generally Accepted Standardized Test
	Γ	School Records
	Γ	Telephone Verification (form completed and in the file)
	$\[$	Other (Please Describe)
	Off	ender - Juvenile or Adult System
		N/A
		Applicant Statement (form completed and in the file)
	1	Court Documents
	1	Halfway House Resident
	1	Letter from Parole Officer
	1	Letter from Probation Officer
		Police Records
	Γ	Self-Certification (form completed and placed in case file)
	Γ	Telephone Verification (form completed and placed in case file)
	Г	Other (Please Describe)
	Hoi	neless or Runaway Youth
	Г	N/A
	Γ	Applicant Statement (form completed and in the file)
	Γ	Written Statement from an Individual Providing Temporary Shelter
	Γ	Written Statement from Shelter
	Γ	Written Statement from Social Service Agency
	Γ	Self-Certification (form completed and placed in case file)
		Telephone Verification (form completed and placed in case file)
	Γ	Other (Please Describe)
	Sup	ported Foster Child
		N/A
	Γ	Court Contact
	Γ	Court Documents
	Γ	Verification of Payment made on Behalf of the Child
		Written Statement from State/Local Agency
	Γ	Telephone Verification (form completed and placed in case file)
	\square	Other (Please Describe)

Last 4 Digits of Social Security Number

JT-O	F SCHOOL YOUTH (continued)
Pre	gnant or Parenting
Γ	N/A
Γ	Applicant Statement (form completed and in the file)
	Birth Certificate of Child
	Hospital Record of Birth
Γ	Medical Card
Г	Physician's Note
Γ	Referrals from Official Agencies
Γ	School Program for Pregnant Teens
Γ	School Records
Γ	Statement from Social Service Agency
Γ	Self-Certification (form completed and placed in case file)
	Telephone Verification (form completed and placed in case file)
Γ	Other (Please Describe)
For	Youth with a Disability
Γ	N/A
Γ	Verified in Individual with a disability
Lov	v Income* Who requires Additional Assistance to Enter Educational Program or Secure or Hold Employment (*Low ormer eligibility/documentation established in Low Income Section) Check One and document
Γ	Enter/Complete Educational Program
	Documentation:
Γ	Secure or Hold Employment
	Documentation:

INDIVIDUAL WITH A DISABILITY

N/A				
Letter from Drug or Alcohol Rehabilitation Agency				
Letter from Transition Team Stating Specific Disability				
Medical Records				
Observable Condition (Applicant Statement Needed)				
Physician's Statement				
Psychologist/Psychiatrist Diagnosis				
Rehabilitation Evaluation				
School Records (504 Plan or IEP)				
Records/Referral from Social Service Agency				
Social Security Administration Disability Records				
Veteran's Administration Letter/Records				
Vocational Rehabilitation Letter/Records				
Children's Rehabilitation Letter/Records				
Self-Certification (form completed and placed in case file)				
Telephone Verification (form completed and placed in case file)				
Other (Please Describe)				_
	Letter from Drug or Alcohol Rehabilitation Agency Letter from Transition Team Stating Specific Disability Medical Records Observable Condition (Applicant Statement Needed) Physician's Statement Psychologist/Psychiatrist Diagnosis Rehabilitation Evaluation School Records (504 Plan or IEP) Records/Referral from Social Service Agency Social Security Administration Disability Records Veteran's Administration Letter/Records Vocational Rehabilitation Letter/Records Children's Rehabilitation Letter/Records Self-Certification (form completed and placed in case file) Telephone Verification (form completed and placed in case file)	Letter from Drug or Alcohol Rehabilitation Agency Letter from Transition Team Stating Specific Disability Medical Records Observable Condition (Applicant Statement Needed) Physician's Statement Psychologist/Psychiatrist Diagnosis Rehabilitation Evaluation School Records (504 Plan or IEP) Records/Referral from Social Service Agency Social Security Administration Disability Records Veteran's Administration Letter/Records Vocational Rehabilitation Letter/Records Children's Rehabilitation Letter/Records Self-Certification (form completed and placed in case file) Telephone Verification (form completed and placed in case file)	Letter from Drug or Alcohol Rehabilitation Agency Letter from Transition Team Stating Specific Disability Medical Records Observable Condition (Applicant Statement Needed) Physician's Statement Psychologist/Psychiatrist Diagnosis Rehabilitation Evaluation School Records (504 Plan or IEP) Records/Referral from Social Service Agency Social Security Administration Disability Records Veteran's Administration Letter/Records Vocational Rehabilitation Letter/Records Children's Rehabilitation Letter/Records Self-Certification (form completed and placed in case file) Telephone Verification (form completed and placed in case file)	Letter from Drug or Alcohol Rehabilitation Agency Letter from Transition Team Stating Specific Disability Medical Records Observable Condition (<i>Applicant Statement Needed</i>) Physician's Statement Psychologist/Psychiatrist Diagnosis Rehabilitation Evaluation School Records (504 Plan or IEP) Records/Referral from Social Service Agency Social Security Administration Disability Records Veteran's Administration Letter/Records Vocational Rehabilitation Letter/Records Children's Rehabilitation Letter/Records Self-Certification (form completed and placed in case file) Telephone Verification (form completed and placed in case file)

DOCUMENT CHECKLIST

its of Social Security Number

LOW

□ N/

	Eligibility - Service - Outcomes Youth, Adult, and Dislocated Worker	Last 4 Digits of Social Security N
W INC	OME ELIGIBLE -If Applicable, Check One	
N/A		
Г	Supplemental Nutrition Assistance Program (SNAP)	
	Authorization to Obtain SNAP within the last 6 months prior to application	
)	SNAP/EBT Card Activity/Balance Letter from SNAP disbursing Agency	
į	Postmarked SNAP Mailer with Applicable Name and Address SNAP Records/Printout	
	Telephone Verification (form completed and placed in case file) Other (Please Describe)	
	emporary Assistance to Needy Families (TANF) or State/Local Income Based As N/A	sistance
۲ ۲	 Authorization to Obtain TANF or Cash Public Assistance within the last 6 months Copy of TANF or Public Assistance Check TANF or Public Assistance Records/Printout 	prior to application
ſ	Telephone Verification (form completed and placed in case file) Other (Please Describe)	
S	upplemental Security Income (SSI)	
I F	N/A Award Latter from Social Security Administration	
Г	Award Letter from Social Security Administration Copy of SSI Check	
, L	Telephone Verification (form completed and placed in case file)	
Γ	Other (Please Describe)	
∏ In	dividual Family Income	
Г	 N/A, if using TANF, SNAP , SSI, Homeless, Foster Child, national School Lunch Act (High-Poverty Area to Determine Low Income Individual 	free/reduced price), Disability or
Γ	Alimony Agreement	
Γ	Applicant Statement	
	Award Letter from Veteran's Administration	
	Bank Statement (Direct Deposit)	
	Compensation Award Letter	
	Court Award Letter	
	Employer Statement/Contact Farm or Business Financial Records	
I F	Housing Authority Verification	
, L	Pay Stubs	
, 	Pension Statement	
Ē	Public Assistance Records	
Γ	Quarterly Estimated Tax for Self-Employed Persons (Schedule C)	
_	Social Security Benefits	
	UI Document or Printout	
12		
Γ	Telephone Verification (form completed and placed in case file)	

Last 4 Digits of Social Security Number

Γ	Nu	mber in Family
	Г	N/A, if using TANF, SNAP, SSI, Homeless, Foster Child, national School Lunch Act (free/reduced price), Disability or High-Poverty Area to Determine Low Income IndividualNOTEFamily Size must be determined if Family Income is used for Low Income determination Family Size does not need to be documented but the family for the individual needs to be established.
	Г	Applicant Statement/Self Certification Statement of Family Status Decree of Court
		Disabled (see Individual with a Disability)
	, 	Landlord Statement
	Г	Marriage Certificate
	Г	Medical Card
	Γ	Most recent tax return supported by IRS Document
	Ē	Public Assistance / Social Service Agency Records
		Public Housing Authority Verification (<i>if resident or on waiting list</i>)
	- -	Telephone Verification (form completed and placed in case file) Other (Please Describe)
Г	Hor	neless or Runaway Youth
		N/A
	Γ	Verified in Out of School Youth Criteria
Γ	Free	e/Reduced Lunch under National School Lunch Act
	\square	Letter from School
		Telephone Verification (form completed and placed in case file)
101100	1	Other (Please Describe)
	Sup	ported Foster Child
		N/A
_	Ear	Verified in Out of School Youth Criteria
ł.	<u>FOr</u>	<u>Youth with a Disability</u> N/A
	F	Verified in Individual with a disability
_	Voi	uth Living in High-Poverty Area
E.	Г.	N/A
	Γ	State Issued Driver's License or I.D.
	Γ	School I.D.
	Γ	Housing Authority Verification
		Statement from Landlord
	 	Mail with Current Address
		Telephone Verification (form completed and placed in case file) Other (Please Describe)
	1	

Last 4 Digits of Social Security Number

DISLO)C/	CATED WORKER -If Applicable, Check One	ate of Dislocation				
∏ N	/A	A					
Γ	_	Category A - Termination or laid off, or Received notice of termination or layoff, Is eligible for US or has exhausted					
1		US, AND is unlikely to return to previous industry or o	occupation				
		□ N/A					
		Certification of Expected Separation					
		Local Workforce Development Board Determination	1				
		Letter from Employer					
		Documentation from Employment Agency					
		UC Screens					
		Self Certification Forms					
		DD214					
		Telephone Verification (form completed and placed in co	ase file)				
		Other (Please Describe) Category B - Terminated or laid off, or Teceived notic	a of termination or	lavoff As the result of a normanent closure			
Г		or substantial layoff	e or termination of	layon As the result of a permanent closure			
		Certification of Expected Separation					
		Letter from Employer					
		Media Announcement with Employment Verificatio	n				
		Self-Certification Form					
		Telephone Verification (form completed and placed in co	ase file)				
		Other (Please Describe)					
Г		Category C - Formerly Self-Employed (including employme	ent as a farmer, a ranche	r, or a fisherman) and presently unemployed due			
1		to : General Economic Conditions in residing community or	Permanently relocat	ed due to Natural Disaster			
		Business License or Permit					
		IRS Documentation					
		Unemployment Rate					
		Failure of Business Supplier					
		Failure of Business Customer					
		Depressed Markets or Prices					
		Federal or State Declaration of Disaster					
		Self-Certification Form					
		Telephone Verification (form completed and placed in ca	ise file)				
		Other (Please Describe)	hu na suidin a sun nai	d consistent and has been demondent on the			
		Category D - Displaced Homemaker who was previous income of another family member; OR Is the depender					
Г		family income is significantly reduced because of depl	and the second sec				
		station, or the service-death or disability of the memb	er; AND is unemple	oyed or underemployed and is having			
	•	difficulty finding or upgrading emplyment.					
		Applicant Statement					
		IRS Documentation AND					
		Court Records					
		Public Assistance Records					
		Medical Records					
		Bank Records					
		AND					
		Employer Verficiation					
		Public Assistance Records					
		Self-Certification					
		Telephone Verification					
		Military Orders					

Last 4 Digits of Social Security Number

SERVICE AND OUTCOME INFORMATION

Employment Status at Participation	
Pay Stub	
Self-attestation	
Case Notes	
Other (Please Describe)	
School Status at Participation / School Status at Exit	
School Records	
Case Notes Other (Please Describe)	
Date of Exit	
Self-attestation	
Case Notes	
Other (Please Describe)	
Date Entered Training	
Training Vendor Documentation	
Case Notes	
Other (Please Describe)	
Date Completed or Withdrew from Training	
Training Vendor Documentation	
Case Notes	
Other (Please Describe)	
Type of Recognized Credential, Diploma, GED or Certification	
Transcripts Certificates	
Diplomas	
Letter or other Documentation from School System	
Case Notes	5
Other (Please Describe)	
Youth Placement / Retention Information	
Apprenticeship Verification	
Documentation of Military Service	
Documentation of advanced Training or Post-Secondary Education	
Transripts	
Employer Contact Case Notes	
Other (Please Describe)	
Counselor / Clarification Notes:	
Staff Certifier:	Date:

Reviewer:

Date:

Attachment P

Selective Service Waiver



ALABAMA WORKFORCE DEVELOPMENT SELECTIVE SERVICE WAIVER



Applicant Name: First, Middle Initial, Last	Date of Birth	Application Date
The above named Applicant meets all other requirements for the Workforce In eligible. Eligibility is based on the following selective service registration waiv		Act and is otherwise
\Box Institutionalized for the entire period between the ages 18-26.		
Name of Institution: Date E	intered: Date I	Released:
Verification Contact Name/Title:	Teleph	one #:
\Box Visible disability that would permanently disqualify him from m	ilitary service.	
Describe disability observed:		
 Appeal made to the Selective Service and a Registered Status I that according to their record the applicant was not required to Entered U.S. after 26th birthday (Please attach a copy of immig 	register.	
\square Failure to register was not knowing and willful (Attach docume	ntation)	
For Office Use Only CERTIFICATIO	DN	
I certify that the information provided above is true and that all documentation is, to the	ne best of my knowledge, auth	entic.
Signature: Da	te:	

Attachment Q

Telephone/Documentation Verification



Applicant Name: First, Middle Initial, Last

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ALABAMA WORKFORCE DEVELOPMENT TELEPHONE/DOCUMENTATION VERIFICATION



Application Date

WIOA eligibility criteria may be verified by telephone contacts with cognizant governmental or social service agencies, or by document inspection. The information obtained must be documented; when documentation is	Agencies that may assist in verifying via telephone:					
accomplished via telephone or document inspection, staff are required to	Local Schools					
use a standardized form for monitoring and audit purposes. Social Security Administration Information recorded must be adequate to enable a monitor or auditor to trace Veterans Administration back to the cognizant agency or the document used. Medical and Health facilities						
Telephone verification must include the name of the agency representative providing the verification information. <i>In some cases, the information provided by an agency through telephone contact may be sufficient to satisfy multiple WIOA criteria. For example, verification that an applicant has been determined eligible to receive TANF can satisfy the requirements for Youth and Adult low income.</i>	Drug & Alcohol Rehabilitation facilities Homeless Shelters Judicial Agencies State and Local Government Agencies					
Documentation through document inspection is appropriate when documents cannot or may not be machine copied.						
Telephone Verification of WIOA Eligibility Name of Document Verified						
Eligibility Item to be Verified:						
Information Verified:						
Agency Providing Verification:						
Agency Contact Name: Verif	ied Date and Time					
Agency Contact Telephone #						
Documentation Inspection Verification of WIOA Eligibility Name of Document Verified						
Eligibility Item to be Verified:						
Document to be inspected:						
Original Source of Document:						
Reason for Document Inspection:						
□ Remote site eligibility - No Copier Available □ On-site eligibility - No	Copier Available					
CERTIFICATION						
I attest that the information recorded on this document verification form was obtained through telephone contact or document inspection on the above date. As indicated by the agent, all information was obtained from data previously determined and recorded in the applicant's records at the agency providing the eligibility verification.						
I attest that the document inspection, verified the primary/secondary items required to determine eligibility for the WIOA program.						
Signature: Dat	e:					

Attachment R

Verification of Employment /Income



ALABAMA WORKFORCE DEVELOPMENT

Verification of Employment/Income



WIOA Applicant Name: First, Middle Initial, Last	Application Date		
Employee Requesting Verification: First, Middle Initial, Last	Relationship to Applicant		

TO WHOM IT MAY CONCERN:

This form is authorization to release information concerning my employment/income as required for Workforce Innovation and Opportunity Act (WIOA). In order to establish eligibility for training and employment services under WIOA, for myself or a family member, verification of income received during the period ___/__/ to __/__/ is required. Please complete this form as soon as possible and return to the address below.

Thank you for your cooperation and prompt return of this information.

Emn	101/00	Signa	turo.
EIIID	lovee	Siulia	ilure.

Emp. SSN#: _____

TO BE COMPLETED BY EMPLOYER

Please provide the information requested below for the employee listed above; this information will be used to assist in establishing eligibility for the Workforce Innovation and Opportunity Act.

EMPLOYER INFORMATION

Employer's Name	Employ	er's Contact Name/	Te	Telephone Number	
Address	City		Sta	te	Zip Code
Occupation/Position Title		Employ From:	ment Date To:	Incom From:	e Determination Date To:
Total Gross Wages/Salary (Includes all p \$ Signature of Employer Represen Title:	tative:			, ,	
For Office Use Only The information above may be complete and the date the telephone contact was r	d by the contractor if verifie	TIFICATIO		ndicate who sup	plied the information
Signature:		Date	e:		_

Revised 09/01/2017

Attachment S

Verification of Termination or Layoff



ALABAMA WORKFORCE DEVELOPMENT DISLOCATED WORKER PROGRAM



Verification of Termination or Layoff

value. I hot, middle millal, cast	Name:	First,	Middle Initial,	Last					
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Application	Date			4.5		
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TO BE COMPLETED BY EMPLOYER

Please provide the information requested below for the employee listed above; this information will be used to assist in establishing eligibility for the Workforce Innovation and Opportunity Act.

EMPLOYER INFORMATION

Employer's Name	Telephone Number	
Address	City	State Zip Code
EMPLOYEE INFORMATION		
Occupation/Position Title	Employment D	Pate
	From:	То:
Has the applicant been terminated or receind the than discharge for cause, voluntary of the than discharge for cause and the	ved notice of termination (i.e. separated from Employme departure or retirement)?	nt due to reasons UYes No
Is the termination a result of the permanen	t closure of the plant/facility/enterprise?	□Yes □No
Is the termination the result of a substanti	al layoff at the plant/facility/enterprise?	□Yes □No
Was the applicant's position/occupation co	overed by unemployment insurance?	□Yes □No
Signature:	Title:	Date:
I certify that I have contacted the above knowledge.	named employer/representative and the information prov	•
Signature:	Date:	
VER	FICATION OF PUBLIC ANNOUNC	CEMENT
Date of Telephone Verification:	Dislocated Worker Specialist Co	ntacted:
Media Announcement Source:	Date of Closure/Layoff	·
Documentation Information Speci	fic to Closing/Layoff:	
NOTE: The following criteria are required	for meeting eligibility policy under the "Public Announce	ment"
□ 1. Must employ 50 or more work	ers	
2. Been declared through Media		
☐ 3. Specific sites must be due to	close by a specific date. List Sites:	
I certify that the information provided abo	ve meets the requirements for Dislocated Worker Eligibili	ty under a "Public Announcement".
	Date:	

Attachment T

Self Certification / Applicant Statement



ALABAMA WORKFORCE DEVELOPMENT

Self Certification / Applicant Statement



Applicant Statement/Self Certification cannot be used to certify social security number, citizenship, birth date, or selective service

WIOA allows for Self-Certification/Applicant Statement to document those items that in some cases are not verifiable or may cause undue hardship for individuals to obtain. <u>A Self-Certification/Applicant Statement may be used after all practical attempts to secure documentation have failed.</u> In order to utilize the Self-Certification as documentation, this form must be utilized.

**Applicant Statements must be verified by a corroborating witness!

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signature.l
the applica

Attachment U

Customer Exit



ALABAMA WORKFORCE DEVELOPMENT CUSTOMER EXIT



Name: First, Middle Initial, Last				Social Security #			
Exit Date		Other Reason	e for Evit				
	tiutionalized	Deceased	Ineligib		Criminal Offender		
	alth/Medical	Foster Care	-	e Forces- call to Active			
Most recent Date Referred to Employment:		Retained with the same e participants to be completed			□ _{Yes} □ _{No}		
EMPLOYMENT INFORMATION							
Employer's Name	Address			City	State Zip Code		
Employee Job Title	Hourly Wag	e Employment Date	O-Net Code	Entered Non-Trad	itional Employment		
				└─ Yes └─ No			
Entered Training-Related Employment (Leave bla	ank if participant o	did not receive a training	service)	└ Yes └ No			
Recognized Education Type: (If attained credent	tial by End of Q4 a	after Exit) Check and ente	er date				
Secondary School Diploma/GED	1	Cccupatio	nal Licensure				
AA or AS Diploma/Degree	<u> </u>	Cccupatio	nal Certificate	//			
BA or BS Diploma/Degree	1	Cccupatio	nal Certification				
Graduate/Post Graduate Degree	1 1		ognized Diploma/Degree/Cert. / /				
In Scho	ool, Alternative School, Postsecondary	School TNot	Attending/within	ndary School Grad or n compulsory attendar	nce age		
Youth 2nd Quarter Placement Yes	No	Youth 4th Qua			No		
In School, Secondary or less			ol, Secondary o				
In School, Alternative School		In School, Alternative School					
In School, Postsecondary School			ol, Postseconda				
In School, Secondary or less		(1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	ol, Secondary or less				
In School, Alternative School		1.0 States of the second se	ol, Alternative S				
In School, Postsecondary School		In Scho	ol, Postseconda	ary School			
Date Enrolled in Post Exit Education or Training	Program leading	to a recognized Postseco	ndary Credent	tial Date:			
FOLLOW-UP SERVICES: (Enter most recent date	ofollow-up service	es received)		Date:			
				4			
Staff Signature:			Dat	e:			
Agency/Career Center:		Telephone #:					
Reviewer Signature:		Date:					